

Avago Technologies LTD
Form 4
July 03, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
DILLER JAMES

(Last) (First) (Middle)

**C/O AVAGO TECHNOLOGIES
U.S. INC., 350 WEST TRIMBLE
ROAD**

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Avago Technologies LTD [AVGO]

3. Date of Earliest Transaction
(Month/Day/Year)
07/02/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares	07/02/2013		S	4,938 D \$ 38	3,285 ⁽¹⁾	D	
Ordinary Shares	06/06/2013		G	14,867 D \$ 0	38,699 ⁽²⁾	I	By Trust ⁽³⁾
Ordinary Shares	06/06/2013		G	27,462 D \$ 0	6,683 ⁽²⁾	I	By Trust ⁽⁴⁾
Ordinary Shares	06/06/2013		G	42,329 A \$ 0	134,618 ⁽²⁾	I	By Trust ⁽⁵⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DILLER JAMES
C/O AVAGO TECHNOLOGIES U.S. INC.
350 WEST TRIMBLE ROAD
SAN JOSE, CA 95131

X

Signatures

/s/ Patricia McCall, Attorney-in-Fact for James
Diller

07/03/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted share units.

(2) The James V. Diller and June P. Diller Trust UA 7/20/77 (the "Diller Trust") received 14,867 shares on June 6, 2013 from the June Diller Annuity Trust (as defined below) and 27,462 shares on June 6, 2013 from the James Diller Annuity Trust (as defined below).

(3) Shares held by the June P. Diller Annuity Trust-2010B dated May 10, 2010 ("the June Diller Annuity Trust"), for which the Reporting Person serves as Trustee.

(4) Shares held by the James V. Diller Annuity Trust-2010B dated May 10, 2010 (the "James Diller Annuity Trust"), for which the Reporting Person serves as Trustee.

(5) Shares held by the Diller Trust for which the Reporting Person serves as Trustee.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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