

Lochiatto Kenneth  
Form 4  
November 05, 2010

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lochiatto Kenneth

2. Issuer Name **and** Ticker or Trading  
Symbol  
WMS INDUSTRIES INC /DE/  
[WMS]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

800 S. NORTHPOINT BLVD

(Street)

WAUKEGAN, IL 60085

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/03/2010

4. If Amendment, Date Original  
Filed(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
EVP and COO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/03/2010		M	V Amount (A) or (D) Price 22,500 A \$ 18.26	47,473 <sup>(1)</sup>	D	
Common Stock	11/03/2010		M	10,790 A \$ 16.95	58,263 <sup>(1)</sup>	D	
Common Stock	11/03/2010		S	40,633 D \$ 43.15 <sup>(2)</sup>	17,630 <sup>(1)</sup>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title		
Stock Option	\$ 18.26	11/03/2010		M	22,500	<u>(3)</u>	06/30/2016	Common Stock		22,500
Stock Option	\$ 16.95	11/03/2010		M	10,790	<u>(4)</u>	08/14/2016	Common Stock		10,790

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Lochiatto Kenneth 800 S. NORTHPOINT BLVD WAUKEGAN, IL 60085	EVP and COO

## Signatures

/s/ Kenneth  
Lochiatto 11/05/2010

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 16,430 shares of restricted stock or restricted stock units and 360 shares acquired under the WMS stock purchase plan on September 30, 2010.

(2) The details of these trades were as follows: 1,741 at \$43.00, 1,259 at \$43.01, 1,900 at \$43.02, 1,700 at \$43.03, 1,900 at \$43.04, 800 at \$43.05, 1,100 at \$43.06, 400 at \$ 43.07, 300 at \$43.08, 200 at \$43.09, 300 at \$43.10, 1,000 at \$43.13, 2,600 at \$43.14, 300 at \$43.15, 100 at \$43.16, 500 at \$43.17, 1,700 at \$43.18, 13,100 at \$43.19, 5,100 at \$43.20, 400 at \$43.21, 212 at \$43.22, 100 at \$43.24, 200 at \$43.25, 100 at \$43.26, 1,300 at \$43.28, and 2,321 at \$43.30.

(3) The option vested in four equal annual installments beginning on 6/30/2007.

(4) The option vested in three equal annual installments beginning on 8/14/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: Lochiatto Kenneth - Form 4

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