Chatterjee-Tandon Vengalil K

Form 4

January 30, 2009

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

response...

burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Chatterjee-Tandon Vengalil K

2. Issuer Name and Ticker or Trading

Symbol

SUN MICROSYSTEMS, INC.

[JAVA]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

01/28/2009

Director 10% Owner X_ Officer (give title Other (specify

below) below) Chief Accounting Officer

4150 NETWORK CIRCLE (Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

SANTA CLARA, CA 95054

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Owned (Instr. 8) (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Amount (D) Price Common 01/28/2009 $A^{(3)}$ 37,100 A 56,052 (1) D Stock 0.001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Chatterjee-Tandon Vengalil K - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pri Deriv Secu (Insti |
|---|---|---|---|--|---|---------------------|--------------------|---|-------------------------------------|-----------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Employee Stock Option (Right to Buy) | \$ 15 | | | | | (2) | 05/18/2012 | Common Stock | 4,500 | |
| Employee Stock Option (Right to Buy) | \$ 15.76 | | | | | <u>(2)</u> | 09/17/2012 | Common Stock | 4,500 | |
| Employee Stock Option (Right to Buy) | \$ 16.48 | | | | | (2) | 01/27/2013 | Common Stock | 2,500 | |
| Employee Stock Option (Right to Buy) | \$ 21.32 | | | | | <u>(2)</u> | 11/02/2016 | Common Stock | 13,125 | |
| Employee Stock Option (Right to Buy) | \$ 21.08 | | | | | <u>(2)</u> | 04/25/2017 | Common Stock | 3,750 | |
| Employee Stock Option (Right to Buy) | \$ 20 | | | | | (2) | 11/14/2017 | Common Stock | 6,900 | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

2

Edgar Filing: Chatterjee-Tandon Vengalil K - Form 4

Director 10% Owner Officer Other

Chatterjee-Tandon Vengalil K 4150 NETWORK CIRCLE SANTA CLARA, CA 95054

Chief Accounting Officer

Signatures

/s/ Vengalil K.
Chatterjee-Tandon
01/29/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 49,100 shares of unvested restricted stock units.
- (2) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.
- (3) This restricted stock unit grant vests and becomes 100% exercisable on April 1, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3