Cardoso Ricardo Form 4 April 24, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction 1(b).

Section 16.

Form 4 or

obligations

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \* Cardoso Ricardo

2. Issuer Name and Ticker or Trading

Symbol

MACK CALI REALTY CORP

[CLI]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 04/20/2018

C/O MACK-CALI REALTY CORPORATION, HARBORSIDE 3, 210 HUDSON ST., STE. 400

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify

below)

**EVP & Chief Investment Officer** 

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

JERSEY CITY, NJ 07311

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

(A)

5. Amount of Securities Beneficially Owned Following

Transaction(s) (Instr. 3 and 4)

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class E 2018 LTIP Units	\$ 0 (1)	04/20/2018		A <u>(1)</u>	22,676		(2)	(2)	Common Stock	22,676	\$ (
Class F 2018 LTIP Units	\$ 0 (3)	04/20/2018		A(3)	11,799		<u>(4)</u>	<u>(4)</u>	Common Stock	11,799	\$ (

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Cardoso Ricardo C/O MACK-CALI REALTY CORPORATION HARBORSIDE 3, 210 HUDSON ST., STE. 400 JERSEY CITY, NJ 07311

**EVP & Chief Investment Officer** 

### **Signatures**

/s/ Ricardo

Cardoso 04/24/2018

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

Index over the same three year performance period.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On April 20, 2018, the reporting person received a grant of Class E 2018 LTIP Unit (the "Class E Units") of Mack-Cali Realty, L.P. (the "Operating Partnership"). The Class E Units are a class of units of the Operating Partnership that, following the occurrence of certain events and upon vesting are convertible by the holder into an equivalent number of Common Units of the Operating Partnership ("Common Units"). Common Units of the Operating Partnership are redeemable by the holder for cash or, at the Company's election, shares of common stock of the Company on a one-for-one basis or the cash value of such shares.

- Fifty percent (50%) of the Class E Units may vest over a three to five year period based on the attainment of absolute total stockholder return ("TSR") metrics by the Company over a three year performance period. The remaining fifty percent (50%) of the Class C Units may vest over a three to five year period based on the Company's TSR relative to the TSR of other equity office REITs in the NAREIT
- (3) On April 20, 2018, the reporting person received a grant of Class F 2018 LTIP Unit (the "Class F Units") of the Operating Partnership. The Class F Units are a class of units of the Operating Partnership that, following the occurrence of certain events and upon vesting are

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convertible by the holder into an equivalent number of Common Units. Common Units of the Operating Partnership are redeemable by the holder for cash or, at the Company's election, shares of common stock of the Company on a one-for-one basis or the cash value of such shares.

- (4) The Class F Units vest on April 20, 2021.
  - Reported amounts exclude the following securities directly beneficially owned by the reporting person: (i) 5,949 Class A 2016 LTIP Units of the Operating Partnership, (ii) 3,687 Class B 2016 LTIP Units of the Operating Partnership, (iii) 13,473 Class C 2017 LTIP Units
- (5) of the Operating Partnership, and (iv) 4,449 Class D 2017 LTIP Units of the Operating Partnership. Subject to certain vesting conditions, all classes of LTIP Units of the Operating Partnership may be converted to Common Units on a one-for-one basis, which are redeemable for shares of common stock of the Company on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.