

CARLISLE COMPANIES INC

Form 4

May 04, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROBERTS DAVID A

(Last) (First) (Middle)

C/O CARLISLE COMPANIES INCORPORATED, 11605 NORTH COMMUNITY HOUSE ROAD

(Street)

CHARLOTTE, NC 28277

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CARLISLE COMPANIES INC [CSL]

3. Date of Earliest Transaction (Month/Day/Year)  
05/03/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Executive Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/03/2016		M	90,820 A	\$ 38.31 238,437 <sup>(1)</sup> <sub>(4) (5)</sub>	D	
Common Stock	05/03/2016		S	90,820 D	\$ 100.94 147,617 <sub>(2)</sub>	D	
Common Stock	05/03/2016		M	6,920 A	\$ 38.31 154,537	D	
Common Stock	05/03/2016		S	6,920 D	\$ 101.89 147,617	D	

	<u>(3)</u>		
Common Stock	132,076 <sup>(4)</sup> <u>(5) (6)</u>	I	See Footnotes

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable Date	Expiration Date	Title	
Common Stock Options <sup>(7)</sup>	\$ 38.31	05/03/2016		M	97,740	<u>(8)</u>	02/02/2021	Common Stock	97,740

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROBERTS DAVID A C/O CARLISLE COMPANIES INCORPORATED 11605 NORTH COMMUNITY HOUSE ROAD CHARLOTTE, NC 28277	X		Executive Chairman	

## Signatures

/s/ David A. Roberts by Steven Ford,  
attorney-in-fact

05/04/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 138 shares acquired in the issuer's defined contribution plan.

## Edgar Filing: CARLISLE COMPANIES INC - Form 4

- This transaction was executed in multiple trades at prices ranging from \$100.60 to \$101.59. The price reported above reflects the
- (2) weighted average sales price. Mr. Roberts hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

- This transaction was executed in multiple trades at prices ranging from \$101.60 to \$102.50. The price reported above reflects the
- (3) weighted average sales price. Mr. Roberts hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

- (4) On February 2, 2016, 24,411 shares were transferred to a Grantor Retained Annuity Trust for the benefit of Mr. Roberts' children of which Mr. Roberts is Trustee.
- (5) On February 22, 2016, 20,164 shares held in Grantor Retained Annuity Trusts were transferred back to Mr. Roberts.
- (6) Includes 120,910 shares held in Grantor Retained Annuity Trusts for the benefit of Mr. Roberts' children of which Mr. Roberts is Trustee and 11,166 shares held in trust for the benefit of Mr. Roberts' children of which Mr. Roberts' spouse is Trustee.
- (7) Right to buy.
- (8) 32,580 options exercisable on each of February 3, 2012, February 3, 2013 and February 3, 2014, cumulatively.
- (9) The transaction is the exercise of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.