Edgar Filing: Merriman Curhan Ford Group, Inc. - Form 4

Merriman Curhan Ford Group, Inc. Form 4 November 04, 2008

November (04, 2008									
FORM	Λ4								PPROVA	۹L
	UNITED	STATES		RITIES A Ashington			COMMISSIO	N OMB Number:	3235	-0287
Check the check	ger							Expires:	Janua	ry 31, 2005
if no longer subject to Section 16. Form 4 or							Estimated burden ho response	Estimated average burden hours per response		
Form 5 obligation may com <i>See</i> Insta 1(b).	ons Section 17(a) of the l	Public U	Jtility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940			
(Print or Type	Responses)									
1. Name and A MERRIMA		2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
			Merrin [MER]		n Ford C	froup, Inc.	(Cho	eck all applicabl	e)	
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)			X DirectorX 10% Owner X Officer (give title Other (specify below) below)				
600 CALIFORNIA STREET, 9TH FLOOR			10/31/2008			below)	CEO			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
SAN FRAN	NCISCO, CA 941	08						One Reporting P More than One R		
(City)	(State)	(Zip)	Tal	ole I - Non-J	Derivative	Securities A	cquired, Disposed	of, or Beneficia	ally Owne	d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al iip
				Code V		(D) Price				
Reminder: Re	port on a separate line	e for each cl	ass of sec	curifies bene	-	-	or indirectly.	oction of	SEC 1474	
					inforn requii	nation cont red to resp ays a curre	ained in this forn ond unless the fo ntly valid OMB co	n are not rm	(9-02)	
	Tab					posed of, or convertible	Beneficially Owner securities)	d		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorDerivative	Expiration Date	of Underlying

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) of Disposed of (I (Instr. 3, 4, and 5)))	(ear)	Securities (Instr. 3 a	
				Code V	(A) (I) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option	\$ 49	10/31/2008		J (1)	14,286	10/31/2008	02/24/2010	Option	14,286
Option	\$ 5.18	10/31/2008		J (1)	4,286	10/31/2008	12/31/2011	Option	4,286
Option	\$ 2.87	10/31/2008		J <u>(1)</u>	55,357	10/31/2008	03/20/2012	Option	55,357
Option	\$ 2.87	10/31/2008		J (1)	142,858	10/31/2008	03/20/2012	Option	142,858
Option	\$ 3.29	10/31/2008		J <u>(1)</u>	714,286	10/31/2008	06/23/2013	Option	714,286
Option	\$ 4.82	10/31/2008		J (1)	52,500	10/31/2008	06/08/2017	Option	52,500
Option	\$ 4.82	10/31/2008		J (1)	8,750	10/31/2008	06/08/2017	Option	8,750
Option	\$ 4.82	10/31/2008		J <u>(1)</u>	8,750	10/31/2008	06/08/2017	Option	8,750
Option	\$ 3.84	10/31/2008		J (1)	3,750	10/31/2008	05/02/2018	Option	3,850
Option	\$ 3.84	10/31/2008		J <u>(1)</u>	3,750	10/31/2008	05/02/2018	Option	3,750
Opion	\$ 3.84	10/31/2008		J (1)	22,500	10/31/2008	05/02/2018	Option	22,500

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MERRIMAN D JONATHAN 600 CALIFORNIA STREET 9TH FLOOR SAN FRANCISCO, CA 94108	Х	Х	CEO			
Signatures						

D. Jonathan Merriman	11/04/2008		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person has voluntarily agreed to return each of the option grants listed in Table II to the Company. Reporting Person has received no consideration for the return of options; nor any promise of future consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.