Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

TD AMERITRADE HOLDING CORP

Form 4

November 27, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

OMB 3235-0287 Number: January 31, Expires:

Estimated average burden hours per

response... 0.5

2005

Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Washington, D.C. 20549

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

11/24/2015

11/25/2015

(Print or Type Responses)

1. Name and A Quirk Steven	Symbol				5. Relationship of Reporting Person(s) to Issuer				
			TD AMERITRADE HOLDING CORP [AMTD]			(Check all applicable)			
(Last)	(First) (M		3. Date of Earliest Transaction (Month/Day/Year)			Director 10% Owner Other (specify			
200 S. 108T	11/24/20	015		below) below) EVP, Trader Group					
	4. If Ame	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
		Filed(Mon	th/Day/Year)	Applicable Line) _X_ Form filed by	One Reporting Pe	erson		
OMAHA, N	E 68154				Form filed by Person	More than One Re	eporting		
(City)	(State)	Zip) Table	e I - Non-D	erivative Securities Acc	quired, Disposed (of, or Beneficial	ly Owned		
1.Title of	2. Transaction Date		3.	4. Securities Acquired	5. Amount of	6. Ownership			
Security	(Month/Day/Year)	Execution Date, if		on(A) or Disposed of	Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	(D)	Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership		
					Following	(Instr. 4)	(Instr. 4)		
				(A)	Reported				
				Ot .	Transaction(s)				

Code V

A

A

Amount

25,666 A

448

(D)

A

Price

\$0

\$0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

D

D

85,930 (1)

111,596

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration	Title N			
					Exercisable	Date	Title Number of				
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Quirk Steven M. 200 S. 108TH AVE. **OMAHA, NE 68154**

EVP, Trader Group

Signatures

/s/ David L. Lambert as attorney-in-fact for Steven M. Quirk

11/27/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Balance unchanged from the Form 4 filed Nov. 24, 2015, which inadvertently reflected the 448 dividend equivalent units received Nov. 24, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2