Kogan Yakov Form 4 April 20, 2010

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287

0.5

January 31, Expires: 2005

10% Owner

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

X\_ Director

Kogan Yakov

(First)

**CLEVELAND BIOLABS INC** [CBLI]

(Check all applicable)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title Other (specify below) below)

03/01/2010

COO, Secretary 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

73 HIGH STREET (Street)

BUFFALO, NY 14203

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(State)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reported Transaction(s)

Code V Amount (D) Price

(A)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

#### Edgar Filing: Kogan Yakov - Form 4

| (Instr. 3)  | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8 | 3) | Acquired or Dispose (D) (Instr. 3, 4 and 5) | ed of |                     |                    |                 |                            |
|---|------------------------------------|------------|------------------|-----------|----|---|-------|---------------------|--------------------|-----------------|----------------------------|
|   |                                    |            |                  | Code      | V  | (A)   | (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>Number<br>Shares |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)     | \$ 8.36                            |            |                  |           |    |   |       | 04/06/2007          | 04/05/2017         | Common<br>Stock | 37,500                     |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)     | \$ 4                               |            |                  |           |    |   |       | 04/08/2008          | 02/03/2018         | Common<br>Stock | 137,25                     |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy) (1) | \$ 3.79                            | 03/01/2010 |                  | A         |    | 30,000                                      |       | 03/01/2010          | 02/28/2020         | Common<br>Stock | 30,000                     |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                |       |  |  |  |  |
|--------------------------------|---------------|-----------|----------------|-------|--|--|--|--|
|                                | Director      | 10% Owner | Officer        | Other |  |  |  |  |
| Kogan Yakov                    | v             |           | 000            |       |  |  |  |  |
| 73 HIGH STREET                 | X             |           | COO, Secretary |       |  |  |  |  |
| BUFFALO, NY 14203              |               |           |                |       |  |  |  |  |

## **Signatures**

/s/ Yakov Kogan 04/20/2010

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Effective March 1, 2010, Cleveland BioLabs, Inc. hired Leah Brownlee as an employee and, with approval of the Compensation

Committee, issued employee stock options to her. Ms. Brownlee is the spouse of the reporting person. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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