## Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSA	L CORP /VA/										
Form 4											
January 09, 2	_										
FORM	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB	Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5			
Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. r Filed pur <sup>ns</sup> Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940									
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> PEEBLES ROBERT			2. Issuer Name <b>and</b> Ticker or Trading Symbol UNIVERSAL CORP /VA/ [UVV]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 9201 FOREST HILL AVENUE			3. Date of Earliest Transaction (Month/Day/Year) 01/05/2017					(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) Vice President & Controller			
			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
RICHMON	D, VA 23235							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, i any (Month/Day/Year)		Code (Instr. 3, 4 and 5)			d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	01/05/2017			Code V M	Amount 7,200	(D) A	Price \$ 62.66	(insu: 5 and 4) 43,564 ( <u>1</u> )	D		
Common Stock	01/05/2017			D	7,006	D	\$ 64.4	36,558 <u>(1)</u>	D		
Common Stock	01/05/2017			F	90	D	\$ 64.4	36,468 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactiv Code (Instr. 8)	onof Derivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shares
Stock Appreciation Rights	\$ 62.66	01/05/2017		М	7	7,200	05/24/2007	05/23/2017	Common Stock	7,20

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
PEEBLES ROBERT 9201 FOREST HILL AVENUE RICHMOND, VA 23235			Vice President & Controller				
Signatures							
Robert M. Peebles, by Preston D	01/09/2017						

Attorney

\*\*Signature of Reporting Person

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 12,750 restricted stock units and 1,092 dividend units on the restricted stock units. The restricted stock units and the dividend
   (1) units vest on the fifth anniversary of the award date, however, payment will be delayed until termination of service if the individual is a covered employee under Code Section 162(m) on the date of vesting.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.