Edgar Filing: Sino-Global Shipping America, Ltd. - Form 4

Sino-Global Shipping America, Ltd. Form 4 February 19, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL				
Washington, D.C. 20549							Number:	3235-0287			
Check thi if no long	ter.										
subject to Section 1 Form 4 or Form 5		F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange						Estimated a burden hour response			
obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).											
(Print or Type Responses)											
1. Name and Address of Reporting Person <u>*</u> Cao Lei			2. Issuer Name and Ticker or Trading Symbol Sino-Global Shipping America, Ltd.					5. Relationship of Reporting Person(s) to Issuer			
			[SINO]					(Check all applicable)			
(M			(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 02/11/2016				_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) Chief Executive Officer			
(Street) 4. If Amer			endment, Date Original onth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
ROSLYN, NY 11576-1514				Form filed by M Person			ore than One Reporting				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Se	curiti	es Acq	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any	med n Date, if Day/Year)	3. Transactio Code (Instr. 8) Code V	4. Securitie on(A) or Disp (Instr. 3, 4) Amount	osed c	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	02/11/2016			А	300,000 (1)	А	\$0	1,630,040	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 7.75	05/20/2008	05/20/2008	А	36,000	(2)	05/19/2018	Common Stock	36,000

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Reporting Owners

Reporting Owner Name / Address	Relationships					
13	Director	10% Owner	Officer	Other		
Cao Lei 1044 NORTHERN BOULEVARD, SUITE 305 ROSLYN, NY 11576-1514	Х	Х	Chief Executive Officer			

Signatures

/s/ Lei Cao	02/19/2016
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On February 11, 2016, the Reporting Person was granted 300,000 shares of common stock, vested immediately. The grant was made under the Company's 2014 Equity Incentive Plan.
- (2) The Options vest at a rate of 20% per year, with 20% vesting initially on May 19, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.