#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4

October 17, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sanofi

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol REGENERON

PHARMACEUTICALS INC

(Check all applicable)

[REGN]

10/15/2014

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director X\_\_ 10% Owner Officer (give title below)

\_ Other (specify

54, RUE LA BOETIE

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PARIS, 10 75008

<i>'</i>												
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	10/15/2014		P	5,739 (1) (2)	A	\$ 323.896 (1) (3)	22,382,929	I	See note (4)			
Common Stock	10/15/2014		P	13,876 (1) (2)	A	\$ 324.9268 (1) (5)	22,396,805	I	See note (4)			
Common Stock	10/15/2014		P	28,003 (1) (2)	A	\$ 325.6033 (1) (6)	22,424,808	I	See note (4)			
Common Stock	10/15/2014		P	7,249 (1) (2)	A	\$ 326.8701	22,432,057	I	See note			

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					<u>(1)</u> <u>(7)</u>			
Common Stock	10/15/2014	P	23,602 (1) (2)	A	\$ 328.0115 (1) (8)	22,455,659	I	See note (4)
Common Stock	10/15/2014	P	42,447 (1) (2)	A	\$ 328.8469 (1) (9)	22,498,106	I	See note (4)
Common Stock	10/15/2014	P	20,062 (1) (2)	A	\$ 329.7899 (1) (10)	22,518,168	I	See note (4)
Common Stock	10/15/2014	P	930 <u>(1)</u> <u>(2)</u>	A	\$ 330.9003 (1) (11)	22,519,098	I	See note (4)
Common Stock	10/15/2014	P	1,200 (1) (2)	A	\$ 331.6717 (1) (12)	22,520,298	I	See note (4)
Common Stock	10/15/2014	P	5,016 (1) (2)	A	\$ 332.7549 (1) (13)	22,525,314	I	See note (4)
Common Stock	10/15/2014	P	7,825 (1) (2)	A	\$ 334.0712 (1) (14)	22,533,139	I	See note (4)
Common Stock	10/15/2014	P	2,419 (1) (2)	A	\$ 334.8098 (1) (15)	22,535,558	I	See note

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title and Amount of Underlying Securities (Instr. 3 an	g :	8. Price of Derivative Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title Amo	ount		

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

(9-02)

Number of Shares

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Sanofi
54, RUE LA BOETIE X

PARIS, 10 75008

# **Signatures**

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities Law

10/17/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- (2) Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN"). The shares were purchased pursuant to a plan intended to comply with Rule 10b5-1(c)(1)(i) under the Exchange Act, entered into with a broker on October 15, 2014.
- (3) Purchase prices range from \$323.40 to \$324.32 per share, inclusive.
  - Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares
- beneficially owned directly by SAAN and Aventis was 19,736,006 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuer's Board of Directors.
- (5) Purchase prices range from \$324.39 to \$325.38 per share, inclusive.
- (6) Purchase prices range from \$325.39 to \$326.37 per share, inclusive.
- (7) Purchase prices range from \$326.39 to \$327.38 per share, inclusive.
- (8) Purchase prices range from \$327.39 to \$328.38 per share, inclusive.
- (9) Purchase prices range from \$328.39 to \$329.38 per share, inclusive.
- (10) Purchase prices range from \$329.39 to \$330.11 per share, inclusive.
- (11) Purchase prices range from \$330.46 to \$331.26 per share, inclusive.
- (12) Purchase prices range from \$331.47 to \$332.41 per share, inclusive.
- (13) Purchase prices range from \$332.47 to \$333.28 per share, inclusive.
- (14) Purchase prices range from \$333.50 to \$334.40 per share, inclusive.
- (15) Purchase prices range from \$334.62 to \$335.00 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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