

CARTERS INC
Form 3
August 07, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â D'Emilio Julie

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/28/2014

3. Issuer Name **and** Ticker or Trading Symbol
CARTERS INC [CRI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior Vice President - Sales

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

3438 PEACHTREE ROAD
NE,Â SUITE 1800

(Street)

ATLANTA,Â GAÂ 30326

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

6,989 ⁽¹⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Purchase)	Â (2)	11/09/2016	Common Stock	10,000	\$ 26.05	D	Â
Employee Stock Option (Right to Purchase)	Â (2)	12/03/2017	Common Stock	3,000	\$ 22.79	D	Â
Employee Stock Option (Right to Purchase)	Â (2)	03/12/2019	Common Stock	4,000	\$ 18.14	D	Â
Employee Stock Option (Right to Purchase)	Â (2)	02/16/2020	Common Stock	3,000	\$ 28.04	D	Â
Employee Stock Option (Right to Purchase)	Â (3)	02/24/2021	Common Stock	5,200	\$ 28.44	D	Â
Employee Stock Option (Right to Purchase)	Â (3)	02/22/2022	Common Stock	2,800	\$ 42.61	D	Â
Employee Stock Option (Right to Purchase)	Â (3)	02/20/2023	Common Stock	2,000	\$ 59.27	D	Â
Employee Stock Option (Right to Purchase)	Â (3)	02/20/2023	Common Stock	3,000	\$ 59.27	D	Â
Employee Stock Option (Right to Purchase)	Â (3)	02/18/2024	Common Stock	1,400	\$ 68.49	D	Â
Employee Stock Option (Right to Purchase)	Â (3)	02/18/2024	Common Stock	2,000	\$ 68.49	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
D'Emilio Julie 3438 PEACHTREE ROAD NE SUITE 1800 ATLANTA, GA 30326	Â	Â	Â Senior Vice President - Sales	Â

Signatures

/s/Michael Wu, Attorney-in-Fact for Julie
D'Emilio

08/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Some of these restricted shares, granted at no cost to the reporting person, are subject to restrictions that lapse in four equal annual installments beginning one year from the grant date.
- (2) This option is fully exercisable.

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(3) These time-vesting options are exercisable in four equal annual installments beginning one year from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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