

REGENERON PHARMACEUTICALS INC

Form 4

March 05, 2014

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sanofi

2. Issuer Name **and** Ticker or Trading  
Symbol  
REGENERON  
PHARMACEUTICALS INC  
[REGN]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director      ☒ 10% Owner  
\_\_\_\_ Officer (give title below)      \_\_\_\_ Other (specify below)

(Last)      (First)      (Middle)  
  
54 RUE LA BOETIE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/03/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

PARIS, IO 75008

(City)      (State)      (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                   |                                                                   |
| Common<br>Stock                       | 03/03/2014                              |                                                             | P                                    |                                                                         | 4,415 <sup>(1)</sup><br>(2)                                                                                        | \$<br>327.9984<br>(1) (3)                                               | 16,754,035 I See note<br>(4)                                      |
| Common<br>Stock                       | 03/03/2014                              |                                                             | P                                    |                                                                         | 603 <sup>(1)</sup><br>(2)                                                                                          | \$<br>329.2859<br>(1) (5)                                               | 16,754,638 I See note<br>(4)                                      |
| Common<br>Stock                       | 03/03/2014                              |                                                             | P                                    |                                                                         | 3,513 <sup>(1)</sup><br>(2)                                                                                        | \$<br>330.3164<br>(1) (6)                                               | 16,758,151 I See note<br>(4)                                      |
|                                       | 03/03/2014                              |                                                             | P                                    |                                                                         |                                                                                                                    |                                                                         | 16,761,478 I                                                      |

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|              |            |   |                                      |   |                                              |            |   |                        |
|--------------|------------|---|--------------------------------------|---|----------------------------------------------|------------|---|------------------------|
| Common Stock |            |   | 3,327 <sup>(1)</sup><br><u>(2)</u>   |   | \$<br>331.3846<br><sup>(1)</sup> <u>(7)</u>  |            |   | See note<br><u>(4)</u> |
| Common Stock | 03/03/2014 | P | 3,300 <sup>(1)</sup><br><u>(2)</u>   | A | \$<br>333.3103<br><sup>(1)</sup> <u>(8)</u>  | 16,764,778 | I | See note<br><u>(4)</u> |
| Common Stock | 03/03/2014 | P | 7,818 <sup>(1)</sup><br><u>(2)</u>   | A | \$<br>335.3276<br><sup>(1)</sup> <u>(9)</u>  | 16,772,596 | I | See note<br><u>(4)</u> |
| Common Stock | 03/03/2014 | P | 15,199<br><sup>(1)</sup> <u>(2)</u>  | A | \$<br>336.1901<br><sup>(1)</sup> <u>(10)</u> | 16,787,795 | I | See note<br><u>(4)</u> |
| Common Stock | 03/03/2014 | P | 38,583<br><sup>(1)</sup> <u>(2)</u>  | A | \$<br>337.2012<br><sup>(1)</sup> <u>(11)</u> | 16,826,378 | I | See note<br><u>(4)</u> |
| Common Stock | 03/03/2014 | P | 30,057<br><sup>(1)</sup> <u>(2)</u>  | A | \$ 338.295<br><sup>(1)</sup> <u>(12)</u>     | 16,856,435 | I | See note<br><u>(4)</u> |
| Common Stock | 03/03/2014 | P | 107,691<br><sup>(1)</sup> <u>(2)</u> | A | \$<br>339.3334<br><sup>(1)</sup> <u>(13)</u> | 16,964,126 | I | See note<br><u>(4)</u> |
| Common Stock | 03/03/2014 | P | 37,088<br><sup>(1)</sup> <u>(2)</u>  | A | \$<br>339.9412<br><sup>(1)</sup> <u>(14)</u> | 17,001,214 | I | See note<br><u>(4)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 6) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                                                                  |

## Reporting Owners

| Reporting Owner Name / Address                | Relationships |           |         |       |
|-----------------------------------------------|---------------|-----------|---------|-------|
|                                               | Director      | 10% Owner | Officer | Other |
| Sanofi<br>54 RUE LA BOETIE<br>PARIS, IO 75008 |               |           | X       |       |

## Signatures

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities  
Law

03/05/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- (2) Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN").
- (3) Purchase prices range from \$327.65 to \$328.49 per share, inclusive.
- (4) Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares beneficially owned directly by SAAN and Aventis was 14,201,662 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuers Board of Directors.
- (5) Purchase prices range from \$328.78 to \$329.58 per share, inclusive.
- (6) Purchase prices range from \$330.02 to \$330.86 per share, inclusive.
- (7) Purchase prices range from \$331.07 to \$331.88 per share, inclusive.
- (8) Purchase prices range from \$332.98 to \$333.65 per share, inclusive.
- (9) Purchase prices range from \$334.69 to \$335.67 per share, inclusive.
- (10) Purchase prices range from \$335.71 to \$336.66 per share, inclusive.
- (11) Purchase prices range from \$336.73 to \$337.72 per share, inclusive.
- (12) Purchase prices range from \$337.76 to \$338.75 per share, inclusive.
- (13) Purchase prices range from \$338.76 to \$339.75 per share, inclusive.
- (14) Purchase prices range from \$339.76 to \$340.00 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.