Edgar Filing: MODINE MANUFACTURING CO - Form 4

MODINE MANUFACTURING CO

Form 4

August 28, 2013

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

Section 16. Form 4 or Form 5 SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BURKE THOMAS ANDREW			2. Issuer Name Symbol	and Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
			MODINE MANUFACTURING CO		(Check all applicable)		icable)	
(Last)	(First)	(Middle)	3. Date of Earlies		X Director		_ 10% Owner _ Other (specify	
1500 DEKOVEN AVE.			(Month/Day/Year	r)	below)	give title belov		
			08/26/2013		President and CEO			
(Street)			4. If Amendment	, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/	Year)	Applicable Line)			
RACINE,	W/I 52402				_X_ Form filed Form filed l	•	_	
KACINE,	W1 33403				Person			
(City)	(State)	(Zip)	Table I - No	n-Derivative Securities Acq	uired, Dispose	d of, or Bene	ficially Owned	
1.Title of	2. Transaction Da	te 2A. Deemo	ed 3.	4. Securities Acquired (A	5. Amount	of 6.	7. Natur	

							, <u>F</u> ,		•
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securit		equired (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(4)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common stock	08/26/2013		S	17,452 (1)	D	\$ 13.7073	328,662 (2)	D	
Common stock	08/27/2013		S	36,593 (1)	D	\$ 13.1495	292,069 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration	Title N	r Jumber		
						Exercisable	Date				
				C + V	(A) (D)			0			
				Code V	(A) (D)			S	hares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
Fg	Director	10% Owner	Officer	Other				
BURKE THOMAS ANDREW 1500 DEKOVEN AVE. RACINE, WI 53403	X		President and CEO					

Signatures

Margaret C. Kelsey, Attorney 08/28/2013 in Fact

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported transaction was completed pursuant to a trading plan entered into by Mr. Burke on August 5, 2013 in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended, the purpose of which is to finance the purchase of a primary residence in
- Racine, Wisconsin. Mr. Burke expects to complete a number of similar transactions in accordance with the plan over the coming months for the same purpose.
- (2) This total includes 8175 units of Modine common stock held in the Reporting Person's Modine 401(k) Retirement Plan account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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