Berckemeyer Ricardo M. Form 4 March 08, 2019

FORM 4

subject to

Section 16.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Berckemeyer Ricardo M.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

LAUREATE EDUCATION, INC.

03/06/2019

[LAUR]

(Check all applicable)

10% Owner

Other (specify

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director X_ Officer (give title below)

650 S. EXETER STREET, 12TH

(Street)

(State)

FLOOR

(City)

President & COO

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

A

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BALTIMORE, MD 21202

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Owned Following Reported Transaction(s)

Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Class A

Common 03/06/2019 Stock

Price Code V Amount (D) 32,680

(A)

or

Α

\$0

112,017 (1)

(Instr. 3 and 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

Edgar Filing: Berckemeyer Ricardo M. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 14.9	03/06/2019		A	80,515	<u>(2)</u>	03/06/2029	Class A Common Stock	80,515

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Berckemeyer Ricardo M. 650 S. EXETER STREET, 12TH FLOOR BALTIMORE, MD 21202

President & COO

Signatures

/s/ Sean P. Mulcahy, Attorney-in-Fact 03/08/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported transaction involved the reporting person's receipt of 32,680 restricted stock units pursuant to Laureate Education, Inc.'s 2103 Long Term Incentive Plan (as the same may have been amended from time to time). The reporting person reported receipt of restricted stock units on or prior to June 14, 2017 in Table II of Form 4. Beginning with the reporting person's March 7, 2018 award of 36,684 restricted stock units, the reporting person began reporting restricted stock units in Table I. The total represents, 32,680 newly award restricted stock units granted on March 6, 2019, 10,416 restricted stock units previously reported in Table II, 24,456 restricted stock units previously reported in Table I, and 44,465 shares of Class A Common Stock.

(2) The option vests in three equal annual installments beginning on December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2