

Nebreda Julian
Form 3
September 28, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Nebreda Julian
(Last) (First) (Middle)

4300 WILSON BLVD.
(Street)

ARLINGTON,Â VAÂ 22203
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
09/18/2018

3. Issuer Name and Ticker or Trading Symbol
AES CORP [AES]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
VP, Andes SBU President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

16,780 ⁽¹⁾

D

Â

Common Stock

24,439 ⁽²⁾

I

by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
IRP Unit	Â (3)	Â (3)	Common Stock	78,352	\$ (3)	D	Â
Stock Option (Right to Buy)	Â (4)	02/19/2020	Common Stock	12,864	\$ 12.18	D	Â
Stock Option (Right to Buy)	Â (5)	02/18/2021	Common Stock	16,800	\$ 12.88	D	Â
Stock Option (Right to Buy)	Â (6)	02/17/2022	Common Stock	19,134	\$ 13.7	D	Â
Stock Option (Right to Buy)	Â (7)	02/15/2023	Common Stock	33,317	\$ 11.17	D	Â
Stock Option (Right to Buy)	Â (8)	02/21/2024	Common Stock	26,917	\$ 14.63	D	Â
Stock Option (Right to Buy)	Â (9)	02/20/2025	Common Stock	46,092	\$ 11.89	D	Â
Units	Â (10)	Â (10)	Common Stock	24,373	\$ (10)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Nebreda Julian 4300 WILSON BLVD. ARLINGTON, VA 22203	Â	Â	Â VP, Andes SBU President	Â

Signatures

/s/ Julian
Nebreda

09/28/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes Restricted Stock Unit ("RSU") awards granted pursuant to The AES Corporation 2003 Long Term Compensation Plan, with such awards vesting in three equal annual installments from the date of grant if certain continuing employment conditions are satisfied. If such conditions are satisfied, each RSU entitles the holder to one share of AES Common Stock for each RSU granted.
- (2) Consists of shares of AES Common Stock acquired pursuant to The AES Corporation Retirement Savings Plan. The information in this report is based on a plan statement dated September 25, 2018.
- (3) These units were awarded pursuant to the International Retirement Plan (the "IRP"). Generally, units under the IRP are paid out in cash following termination of employment or later per the IRP document. Each unit represents a hypothetical AES investment equal to one share of AES Common Stock, and units under the IRP are 100% vested upon award.

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- (4) This stock option was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vested in three equal annual installments. The first installment vested on 2/19/2011, the second installment vested on 2/19/2012 and the final installment vested on 2/19/2013.
- (5) This stock option was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vested in three equal annual installments. The first installment vested on 2/18/2012, the second installment vested on 2/18/2013 and the final installment vested on 2/18/2014.
- (6) This stock option was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vested in three equal annual installments. The first installment vested on 2/17/2013, the second installment vested on 2/17/2014 and the final installment vested on 2/17/2015.
- (7) This stock option was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vested in three equal annual installments. The first installment vested on 2/15/2014, the second installment vested on 2/15/2015 and the final installment vested on 2/15/2016.
- (8) This stock option was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vested in three equal annual installments. The first installment vested on 2/21/2015, the second installment vested on 2/21/2016 and the final installment vested on 2/21/2017.
- (9) This stock option was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vested in three equal annual installments. The first installment vested on 2/20/2016, the second installment vested on 2/20/2017 and the final installment vested on 2/20/2018.
- (10) These units were awarded pursuant to the Restoration Supplemental Retirement Plan (the "Restoration Plan"). Generally, units under the Restoration Plan are paid out in cash following termination of employment or later per the Restoration Plan document. Each unit represents a hypothetical AES investment equal to one share of AES Common Stock, and units under the Restoration Plan are 100% vested upon award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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