PATTERSON COMPANIES, INC.

Form 4 July 07, 2005

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

Security

(Instr. 3)

1. Name and Address of Reporting Person \* WEBSTER JEFFREY H

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

PATTERSON COMPANIES, INC.

[PDCO]

(Check all applicable)

(Middle)

(Zip)

(Month/Day/Year) Execution Date, if

**86 LEOMINISTER ROAD** 

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title below)

Other (specify below)

10% Owner

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

07/06/2005

President - Subsidiary

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Director

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

STERLING, MA 01564

(City) 1.Title of 2. Transaction Date 2A. Deemed

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) or (Instr. 3 and 4) Price

Common 07/06/2005 Stock

Code V Amount (D)  $A^{(1)}$ 849 Α \$0

106,094

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: PATTERSON COMPANIES, INC. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	8	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price of Derivativ Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Options	<u>(2)</u>					(3)	<u>(4)</u>	Common Stock	66,374	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WEBSTER JEFFREY H 86 LEOMINISTER ROAD STERLING, MA 01564

President - Subsidiary

### **Signatures**

Matthew L. Levitt, by Power of

Attorney 07/07/2005

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Patterson Companies, Inc. Employee Stock Ownership Plan.
- (2) Options granted as follows: 50,750 on 07/09/01 at \$17.155, 5,702 on 04/29/02 at \$22.57, 6,446 on 04/28/03 at \$19.965, and 3,476 on 4/26/2004 at \$38.50.
- Options exercisable as follows: 7,250 on July 9 of each year 2004-2010, 5,702 on 04/29/2011, 6,446 on 04/28/12, and 3,476 on 4/26/2013.
- (4) All grants expire 10 years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. (6) D Non-Qualified Stock Options (Right to Buy) \$ 18.24 05/17/201105/16/2020 Common Stock 33,190 33,190 (7) D Non-Qualified Stock Options (Right to Buy) \$ 19.5 05/23/201105/22/2021 Common Stock 31,902 31,902 (8) D Non-Qualified Stock Options (Right to Buy) \$ 13.43 05/21/201205/20/2022 Common Stock 53,568 (9) 53,568 (9) D Non-Qualified Stock Options (Right to Buy) \$ 18.95 05/20/201405/20/2023 Common Stock 44,689 (10) 44,689 (10) D

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO			

# **Signatures**

Mary C. O'Connor, Power of Attorney for Timothy T.
Tevens

05/28/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligations upon vesting of 4,224 restricted stock units on 5/23/2013.
  - Includes 84,443 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 6,868 shares become fully vested and non-forfeitable on 5/17/2014; 8,449 shares become fully vested and non-forfeitable 50% per year for two years beginning
- (2) 5/23/2014; 20,032 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 21,183 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 27,911 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employoee Stock Ownership Plan, as amended (the "ESOP").
- Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (5) All exercisable, pursuant to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/23/2011, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years, beginning 5/21/2012, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

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