### Edgar Filing: CARNIVAL PLC - Form 4

| CARNIVAL<br>Form 4<br>February 19,<br><b>FORM</b><br>Check thi<br>if no long<br>subject to<br>Section 14<br>Form 4 ou<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | 2015<br><b>4</b> UNITED S<br>s box<br>s box<br>s for<br>6.<br>Filed pur<br>s Section 17(s) | <b>IENT OF</b><br>suant to S<br>a) of the F | Was<br>F CHAN<br>Section 10<br>Public Ut | Shington,<br>GES IN<br>SECUR<br>6(a) of th<br>ility Hole | , D.C. 20<br>BENEF<br>RITIES<br>de Securit | 549<br>ICIA<br>ties E | LOWN<br>Exchange<br>y Act of | OMMISSION<br>ERSHIP OF<br>Act of 1934,<br>1935 or Section<br>0  | OMB AP<br>OMB<br>Number:<br>Expires:<br>Estimated av<br>burden hours<br>response | 3235-0287<br>January 31,<br>2005<br>verage                        |  |
|--|--|---|--|--|--|-----------------------|------------------------------|---|--|---|--|
| (Print or Type R   | Responses)   |   |  |  |  |                       |                              |   |  |   |  |
| 1. Name and Address of Reporting Person <u>*</u><br>KRUSE STEIN  |  |   | 2. Ibbael Flame and Flemer of Flaming    |  |  |                       |                              | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |  |   |  |
| (Last)   | (First) (N   | Aiddle)                                     | 3. Date of Earliest Transaction          |  |  |                       |                              |   |  |   |  |
|  |  |   | (Month/Day/Year)<br>02/17/2015           |  |  |                       |                              | Director 10% Owner<br>_X Officer (give title Other (specify<br>below) below)<br>CEO, Holland America Group  |  |   |  |
|  |  |   |  | ed(Month/Day/Year) A                                     |  |                       |                              | . Individual or Joint/Group Filing(Check<br>Applicable Line)<br>X_Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>terson |  |   |  |
| (City)   | (State)  | (Zip)                                       | Tabl                                     | e I - Non-I  | Derivative                                 | Secur                 | ities Acqu                   | iired, Disposed of,   | or Beneficially  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)  |   | n Date, if                               | 3.<br>Transactio<br>Code<br>(Instr. 8)                   | 4. Securit<br>otor Dispos<br>(Instr. 3, 4  | ed of                 | (D)                          | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)  | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)       | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Trust<br>Shares<br>(beneficial<br>Interest In<br>Special<br>Voting<br>Share) <u>(1)</u><br>Ordinary  | 02/17/2015   |   |  | Code V   | Amount<br>33,046                           | (D)                   | Price<br>\$<br>43.332<br>(2) | 79 534 4874   | D  |   |  |
| Shares   |  |   |  |  |  |                       |                              |   |  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative | 2.<br>Conversion                                  | 3. Transaction Date<br>(Month/Day/Year) |                         | 4.<br>Transactic   | 5.<br>Mumber  | 6. Date Exerce<br>Expiration Date |                    | 7. Titl<br>Amou            |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|---------------------------|---|---|-------------------------|--------------------|---|-----------------------------------|--------------------|----------------------------|--|------------------------|---|
| Security<br>(Instr. 3)    | or Exercise<br>Price of<br>Derivative<br>Security |   | any<br>(Month/Day/Year) | Code<br>(Instr. 8) | of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                                   | Year)              | Under<br>Securi<br>(Instr. |  | Security<br>(Instr. 5) | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                           |   |   |                         | Code V             | (A) (D)   | Date<br>Exercisable               | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                            |       |  |  |  |
|--|---------------|-----------|----------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                    | Other |  |  |  |
| KRUSE STEIN<br>CARNIVAL CORPORATION<br>3655 NW 87TH AVE<br>MIAMI, FL 33178 |               |           | CEO, Holland America Group |       |  |  |  |
| Signatures   |               |           |                            |       |  |  |  |

## Signatures

| /s/ Stein Kruse                            | 02/19/2015 |
|--|------------|
| <u>**</u> Signature of<br>Reporting Person | Date       |

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

(1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

This transaction was executed in multiple trades. The price reported reflects the average sale price. The reporting person hereby

(2) undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

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(3) Includes shares acquired pursuant to the purchase under, or the dividend reinvestment feature of, the Carnival Corporation Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.