3M CO Form 4 November 04, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

| f Reporting Person *                             | 2. Issuer Name and Ticker or Trading<br>Symbol<br>3M CO [MMM] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |  |  |
|--|---|--|--|--|--|
| (First) (Middle) 3. Date of Earliest Transaction |   | (Check all applicable)   |  |  |  |
|  | (Month/Day/Year)  | Director 10% Owner   |  |  |  |
|  | 11/01/2013  | X Officer (give title Other (specify below)  |  |  |  |
|  |   | Executive Vice President   |  |  |  |
| eet)   | 4. If Amendment, Date Original                                | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| 144-1000   | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reportin Person  |  |  |  |
|  | f Reporting Person *  st) (Middle)  eet)                      | Symbol  3M CO [MMM]  st) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  11/01/2013  4. If Amendment, Date Original Filed(Month/Day/Year) |  |  |  |

#### (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|                                      | Table 1 - Non-Derivative Securities Acquired, Disposed of, of Deficiciany Owned |   |   |        |        |           |  |   |   |  |
|--------------------------------------|---|---|---|--------|--------|-----------|--|---|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year)  | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) |        |        |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |   |   |   |        | (A) or |           | Transaction(s) (Instr. 3 and 4)  | (Instr. 4)                                    |   |  |
|                                      |   |   | Code V                                  | Amount | (D)    | Price     | (moti. 5 and 1)  |   |   |  |
| Common<br>Stock                      | 11/01/2013  |   | M                                       | 11,418 | A      | \$ 76.8   | 16,579   | D   |   |  |
| Common<br>Stock                      | 11/01/2013  |   | S                                       | 10,013 | D      | \$ 125.66 | 6,566  | D   |   |  |
| Common<br>Stock                      | 11/01/2013  |   | S                                       | 400    | D      | \$ 125.67 | 6,166  | D   |   |  |
| Common<br>Stock                      | 11/01/2013  |   | S                                       | 605    | D      | \$ 125.69 | 5,561  | D   |   |  |
| Common<br>Stock                      | 11/01/2013  |   | S                                       | 100    | D      | \$ 125.7  | 5,461  | D   |   |  |

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| Common<br>Stock | 11/01/2013 | S | 200   | D | \$ 125.71     | 5,261            | D |                            |
|-----------------|------------|---|-------|---|---------------|------------------|---|----------------------------|
| Common<br>Stock | 11/01/2013 | S | 100   | D | \$<br>125.716 | 5,161            | D |                            |
| Common<br>Stock | 11/01/2013 | M | 3,420 | A | \$ 54.11      | 8,581            | D |                            |
| Common<br>Stock | 11/01/2013 | S | 1,646 | D | \$ 125.68     | 6,935            | D |                            |
| Common<br>Stock | 11/01/2013 | S | 222   | D | \$ 125.69     | 6,713            | D |                            |
| Common<br>Stock | 11/01/2013 | S | 1,400 | D | \$ 125.7      | 5,313            | D |                            |
| Common<br>Stock | 11/01/2013 | S | 100   | D | \$<br>125.706 | 5,213            | D |                            |
| Common<br>Stock | 11/01/2013 | S | 52    | D | \$ 125.73     | 5,161 <u>(1)</u> | D |                            |
| Common<br>Stock |            |   |       |   |               | 1,020 (2)        | I | By<br>401k/paesop<br>Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amou<br>Underlying Securi<br>(Instr. 3 and 4) |                          |
|---|---|---|---|--|--|--|--------------------|--|--------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amo<br>or<br>Nun<br>of S |
| Non-qualified<br>Stock Option<br>(Right to Buy)     | \$ 76.8   | 11/01/2013                              |   | M                                      | 11,418   | 3 05/10/2006   | 05/08/2015         | Common<br>Stock  | 11,                      |
| Non-qualified<br>Stock Option<br>(Right to Buy)     | \$ 54.11  | 11/01/2013                              |   | M                                      | 3,420  | 02/09/2010   | 02/08/2019         | Common<br>Stock  | 3,4                      |

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Delgado Joaquin 3M CENTER

**Executive Vice President** 

ST. PAUL, MN 55144-1000

### **Signatures**

/s/ George Ann Biros, attorney-in-fact for Joaquin Delgado

11/04/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The total has been adjusted to reflect a withholding of 22 additional shares upon vesting of an RSU award on 2/8/2013 and reported on 2/12/2013.
- (2) Includes shares acquired during the fiscal year pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3