

RANKIN CHLOE O  
Form 5/A  
March 29, 2013

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form  
5 obligations  
may continue.  
See Instruction  
1(b).  
Form 3 Holdings  
Reported  
Form 4  
Transactions  
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 1.0

1. Name and Address of Reporting Person \*  
RANKIN CHLOE O

(Last) (First) (Middle)

NACCO INDUSTRIES,  
INC., 5875 LANDERBROOK  
DRIVE, STE. 300

(Street)

2. Issuer Name **and** Ticker or Trading  
Symbol  
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/2012

4. If Amendment, Date Original  
Filed(Month/Day/Year)  
02/14/2013

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) ☒ Other (specify below)  
Member of a Group

MAYFIELD  
HEIGHTS, OH 44124

(City) (State) (Zip)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	09/13/2012	Â	G	189 A \$ 0	13,141	I	By Assoc II/Daughter <sup>(1)</sup>
Class A Common Stock	09/13/2012	Â	G	189 D \$ 0	39,461	I	By Assoc II/Spouse <sup>(2)</sup>
Class A Common	09/13/2012	Â	G	189 D \$ 0	39,461	I	By Assoc II/Spouse <sup>(2)</sup>

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Stock									
Class A Common Stock	09/13/2012	Â	G	189	A	\$ 0	10,141	I	By Assoc II/Son <u>(1)</u>
Class A Common Stock	09/13/2012	Â	G	189	D	\$ 0	39,461	I	By Assoc II/Spouse <u>(2)</u>
Class A Common Stock	09/13/2012	Â	G	189	A	\$ 0	13,141	I	By Assoc II/Daughter <u>(1)</u>
Class A Common Stock	09/13/2012	Â	G	189	A	\$ 0	10,141	I	By Assoc II/Son <u>(1)</u>
Class A Common Stock	09/13/2012	Â	G	119	A	\$ 0	26,441	I	By Spouse/Trust <u>(3)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,116	I	By Assoc II <u>(4)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,783	I	By Trust <u>(5)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By GP <u>(6)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	22,385	I	By Spouse (RA4) <u>(7)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By Spouse/RMI (Delaware) <u>(2)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	10,027	I	By Trust/Son <u>(8)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,272	I	By Trust (Daughter) <u>(8)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8.
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	(I
Class B Common Stock	Â	12/31/2012	Â	G	5,143	Â	Â (9)	Â (9)	Class A Common Stock	5,143	
Class B Common Stock	Â	12/31/2012	Â	G	5,143	Â	Â (9)	Â (9)	Class A Common Stock	5,143	
Class B Common Stock	Â	12/31/2012	Â	G	5,143	Â	Â (9)	Â (9)	Class A Common Stock	5,143	
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (9)	Â (9)	Class A Common Stock	50,000	
Class B Common Stock	\$ 0 (9)	Â	Â	Â	Â	Â	Â (9)	Â (9)	Class A Common Stock	19	
Class B Common	\$ 0 (9)	Â	Â	Â	Â	Â	Â (9)	Â (9)	Class A Common	62,670	

Stock

Class B

Common \$ 0 <sup>(9)</sup>

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Â

Â

Â <sup>(9)</sup>Â <sup>(9)</sup>

Stock

Class B

Common \$ 0 <sup>(9)</sup>

Â

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Â

Â

Â

Â <sup>(9)</sup>Â <sup>(9)</sup>

Stock

Stock

Class A

Common 20,312

Stock

Class A

Common 97,312

Stock

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

RANKIN CHLOE O

NACCO INDUSTRIES, INC.

5875 LANDERBROOK DRIVE, STE. 300

MAYFIELD HEIGHTS, OH 44124

Â

Â

Â

Member of a Group

## Signatures

/s/ John D. Neumann,  
attorney-in-fact

03/25/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the Reporting Person's child's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. which is held in a trust for the benefit of the child. Reporting Person's Spouse is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (2) Represents the Reporting Person's spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI). Reporting Person disclaims beneficial ownership of all such shares.
- (3) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Claiborne R. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (5) Reporting Person serves as Trustee of a Trust for the benefit of Chloe O. Rankin.
- (6) GP. Represents the Reporting Person's spouse's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person's spouse, as general partner. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (8) Held by Trust. Reporting Person's spouse is Co-Trustee for the benefit of Reporting Person's child. Reporting Person disclaims beneficial ownership of all such shares.
- (9) N/A
- (10) Represents the Reporting Person's spouse proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.

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Remarks:

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"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.  
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