NACCO INDUSTRIES INC

Form 4

October 04, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol

NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

3. Date of Earliest Transaction

(Check all applicable)

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE.

(First)

300

(Month/Day/Year)

06/15/2010

X Director 10% Owner _X__ Officer (give title __X__ Other (specify below) below)

CEO / Group Member

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

CLEVELAND, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Sec Transactionor Dis Code (Instr		onor Dispo	(A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class A Common Stock	06/04/2010		G	V	294	D	\$ 0	0	I	CTR - Trust (1)		
Class A Common Stock	06/04/2010		G	V	59	A	\$ 0	152,129	I	AMR - Trust - A		
Class A Common Stock	06/15/2010		P		80	A	\$ 91.4375	14,080	I	AMR - IRA (3)		
Class A	07/02/2010		G	V	4,032	D	\$ 0	5,345	I	AMR - RAII (4)		

Common Stock									
Class A Common Stock	07/02/2010	G	V	2,520	D	\$ 0	28,485	I	BTR - RAII (5)
Class A Common Stock	07/02/2010	G	V	504	A	\$ 0	9,413	I	HRB - RAII (6)
Class A Common Stock	07/02/2010	G	V	252	A	\$ 0	9,665	I	HRB - RAII (6)
Class A Common Stock	09/15/2010	P		80	A	\$ 91.9625	14,160	I	AMR - IRA (3)
Class A Common Stock							22,385	I	AMR - RAIV (7)
Class A Common Stock							1,975	I	AMR - RMI (Delaware) (8)
Class A Common Stock							27,008	I	AMR - Trust2 (SR) (9)
Class A Common Stock							23,200	I	AMR - Trust3 (Grandchildren)
Class A Common Stock							6	I	AMR RAIV GP
Class A Common Stock							30,000	I	AMR/Trust (Unitrust) (11)
Class A Common Stock							15,705	I	BTR - RAIV (12)
Class A Common Stock							0	I	BTR 2009A GRAT/RAII (13)
Class A Common Stock							22,958	I	BTR-2010GRAT - RAII
Class A Common Stock							563	I	BTR - Class A Trust

Class A Common Stock	39,162	I	HRB - Trust (14)
Class A Common Stock	2,116	I	VGR - RAII (15)
Class A Common Stock	21,006	I	VGR - Trust (16)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	\$ 0 (17)					<u>(17)</u>	<u>(17)</u>	Class A Common Stock	62,671	
Class B Common Stock	<u>(17)</u>					(17)	<u>(17)</u>	Class A Common Stock	76,625	
Class B Common Stock	<u>(17)</u>					<u>(17)</u>	<u>(17)</u>	Class A Common Stock	63,052	
Class B Common Stock	<u>(17)</u>					<u>(17)</u>	<u>(17)</u>	Class A Common Stock	76,613	
Class B Common Stock	(17)					<u>(17)</u>	<u>(17)</u>	Class A Common Stock	19	

Class B Class A Common $\$ 0 \frac{(17)}{}$ Common 43,969 Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN ALFRED M ET AL NACCO INDUSTRIES, INC.

5875 LANDERBROOK DRIVE, STE. 300 X CEO Group Member

CLEVELAND, OH 44124

Signatures

/s/Suzanne S. Taylor, attorney-in-fact

09/24/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ow nershp of all such shares.
- (2) Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
- (3) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (4) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associate II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Represents Reporting Person's Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (8) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. ("RMI"), as general partner.
- (9) Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. Reporting Person disclaims bene ficial ownership of all such shares.
- (10) Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (11) Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims benefic ial ownership of all such shares.
- (BTR RAIV) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a qualified annuity interest trust for the benefit of Bruce Rankin. Reporting Person disclaims beneficial ownership of all such shares.

Reporting Owners 4

- (14) Reporting Person serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P...
 Reporting Person disclaims beneficial ownership of all such shares.
- (16) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin. Reporting Person disclaims benefic ial ownership of all such shares.
- (17) N/A
- (18) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a qualified annuity interest trust for the benefit of Reporting Person.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.