Amphastar Pharmaceuticals, Inc.

Form 4

April 17, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* Gerst Diane G.

2. Issuer Name and Ticker or Trading Symbol

Amphastar Pharmaceuticals, Inc.

[AMPH]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

04/14/2017

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

EVP, QA & Regulatory Affairs

10% Owner

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

C/O AMPHASTAR

PHARMACEUTICALS, INC., 11570 6TH STREET

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

### **RANCHO** CUCAMONGA, CA 91730

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

or Code V Amount (D) Price

(Instr. 3 and 4)

Common 04/14/2017 Stock

F 754 (1) D 34,494

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.         | 6. Date Exerc    | cisable and  | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|------------|------------------|--------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |            | Expiration D     | ate          | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of         | (Month/Day/      | Year)        | Underl   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |            | e                |              | Securit  | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities |                  |              | (Instr.  | 3 and 4) |             | Own    |
|             | Security    | eurity Acquired     |                    |                       |            |                  |              |          |          |             | Follo  |
|             | ·           |                     |                    | (A) or                |            |                  |              |          |          |             | Repo   |
|             |             |                     |                    |                       | Disposed   |                  |              |          |          |             | Trans  |
|             |             |                     |                    |                       | of (D)     |                  |              |          |          |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3, |                  |              |          |          |             |        |
|             |             |                     | 4, and 5)          |                       |            |                  |              |          |          |             |        |
|             |             |                     |                    |                       |            |                  |              |          | A        |             |        |
|             |             |                     |                    |                       |            |                  |              |          | Amount   |             |        |
|             |             |                     |                    | Date                  | Expiration |                  | or           |          |          |             |        |
|             |             |                     |                    |                       |            | Exercisable Date | Title Number |          |          |             |        |
|             |             |                     |                    | C 1 W                 | (A) (D)    |                  |              |          | of       |             |        |
|             |             |                     |                    | Code V                | (A) (D)    |                  |              |          | Shares   |             |        |

### **Reporting Owners**

Relationships Reporting Owner Name / Address Other Director 10% Owner Officer

Gerst Diane G. C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730

EVP, QA & Regulatory Affairs

### **Signatures**

/s/ Ken Stupak, by power of 04/17/2017 attorney

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported shares were withheld to satisfy the reporting person's tax liability in connection with the vesting of restricted stock units, or **(1)**

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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