Amphastar Pharmaceuticals, Inc.

Form 4

March 28, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* PETERS WILLIAM J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Amphastar Pharmaceuticals, Inc.

(Check all applicable)

[AMPH]

(Month/Day/Year)

03/26/2017

(Last)

(Middle)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

below)

CFO, SVP & Treasurer

C/O AMPHASTAR PHARMACEUTICALS, INC., 11570 6TH STREET

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**RANCHO** CUCAMONGA, CA 91730

> (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or Code V Amount (D) Reported

Transaction(s) (Instr. 3 and 4)

Common 03/26/2017 Stock

Price 1,823 \$ F D (1) 13.76

92,203 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                     | 6. Date Exerc | cisable and        | 7. Titl               | le and   | 8. Price of | , |
|-------------|-------------|---------------------|--------------------|-------------------|------------------------|---------------|--------------------|-----------------------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                        | Expiration D  | on Date Amou       |                       | int of   | Derivative  | J |
| Security    | or Exercise |                     | any                | Code              | de of (Month/Day/Year) |               | Year)              | Underlying Securities |          | Security    | , |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative             | e             |                    |                       |          | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |                   | Securities             |               |                    | (Instr.               | 3 and 4) |             | ( |
|             | Security    |                     |                    |                   | Acquired               |               |                    |                       |          |             | ] |
|             |             |                     |                    |                   | (A) or                 |               |                    |                       |          |             | ] |
|             |             |                     |                    |                   | Disposed               |               |                    |                       |          |             | - |
|             |             |                     |                    |                   | of (D)                 |               |                    |                       |          |             | ( |
|             |             |                     |                    |                   | (Instr. 3,             |               |                    |                       |          |             |   |
|             |             |                     |                    |                   | 4, and 5)              |               |                    |                       |          |             |   |
|             |             |                     |                    |                   |                        |               |                    |                       | Amount   |             |   |
|             |             |                     |                    |                   |                        |               |                    |                       | or       |             |   |
|             |             |                     |                    |                   |                        |               | Expiration<br>Date | Title                 | Number   |             |   |
|             |             |                     |                    |                   |                        |               |                    |                       | of       |             |   |
|             |             |                     |                    | Code V            | (A) (D)                |               |                    |                       | Shares   |             |   |
|             |             |                     |                    |                   | ()                     |               |                    |                       |          |             |   |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

& Treasurer

PETERS WILLIAM J
C/O AMPHASTAR PHARMACEUTICALS, INC.
CFO, SVP

11570 6TH STREET RANCHO CUCAMONGA, CA 91730

## **Signatures**

/s/ Ken Stupak, by power of attorney 03/28/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported shares were withheld to satisfy the reporting person's tax liability in connection with the vesting of by restricted stock units, or RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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