

Amphastar Pharmaceuticals, Inc.  
Form 3  
June 24, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Gerst Diane G.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/24/2014

3. Issuer Name and Ticker or Trading Symbol

Amphastar Pharmaceuticals, Inc. [AMPH]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner

☒ Officer \_\_\_ Other

(give title below) (specify below)

Corp. Sr. VP of Quality Assur.

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

\_\_\_ Form filed by More than One Reporting Person

C/O AMPHASTAR  
PHARMACEUTICALS,  
INC.,Â 11570 6TH STREET

(Street)

RANCHO  
CUCAMONGA,Â CAÂ 91730

(City)

(State)

(Zip)

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

4,728

D

Â

Common Stock

8,080 <sup>(1)</sup>

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security

4. Conversion  
or Exercise

5. Ownership  
Form of

6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(2)</u>	07/28/2014	Common Stock	10,000	\$ 14.8	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	05/15/2015	Common Stock	9,782	\$ 14.8	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	05/15/2015	Common Stock	218	\$ 14.8	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	12/21/2016	Common Stock	4,000	\$ 30.57	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	12/21/2016	Common Stock	1,000	\$ 30.57	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	12/30/2017	Common Stock	8,767	\$ 31.65	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	12/30/2017	Common Stock	5,233	\$ 31.65	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	08/28/2018	Common Stock	2,522	\$ 35.32	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	08/28/2018	Common Stock	8,478	\$ 35.32	D	Â
Stock Option (Right to Buy)	Â <u>(3)</u>	08/05/2019	Common Stock	3,500	\$ 20.26	D	Â
Stock Option (Right to Buy)	Â <u>(4)</u>	08/05/2019	Common Stock	8,500	\$ 20.26	D	Â
Stock Option (Right to Buy)	Â <u>(5)</u>	03/08/2021	Common Stock	9,000	\$ 11.41	D	Â
Stock Option (Right to Buy)	Â <u>(6)</u>	03/08/2021	Common Stock	6,000	\$ 11.41	D	Â
Stock Option (Right to Buy)	Â <u>(7)</u>	12/22/2021	Common Stock	4,954	\$ 14.23	D	Â
Stock Option (Right to Buy)	Â <u>(8)</u>	12/22/2021	Common Stock	10,046	\$ 14.23	D	Â
Stock Option (Right to Buy)	Â <u>(9)</u>	11/07/2022	Common Stock	6,183	\$ 10.48	D	Â
Stock Option (Right to Buy)	Â <u>(10)</u>	11/07/2022	Common Stock	13,817	\$ 10.48	D	Â
Stock Option (Right to Buy)	Â <u>(11)</u>	07/30/2023	Common Stock	8,223	\$ 10.93	D	Â

Stock Option (Right to Buy)	Â (12)	07/30/2023	Common Stock	19,777	\$ 10.93	D	Â
Stock Option (Right to Buy)	Â (13)	04/14/2024	Common Stock	11,775	\$ 14.4	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gerst Diane G. C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730	Â	Â	Â Corp. Sr. VP of Quality Assur.	Â

## Signatures

/s/Diane G. Gerst, by Power of Attorney 06/24/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This represents deferred stock units that were granted on 4/14/2014 and vest over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (2) This option is fully vested and exercisable.
- (3) This option was granted on 8/5/2009 and vests over 5 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (4) This option was granted on 8/5/2009 and vests over 5 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (5) This option was granted on 3/8/2011 and vests over 5 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (6) This option was granted on 3/8/2011 and vests over 5 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (7) This option was granted on 12/22/2011 and vests over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (8) This option was granted on 12/22/2011 and vests over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (9) This option was granted on 11/7/2012 and vests over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.
- (10)

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This option was granted on 11/7/2012 and vests over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.

- (11) This option was granted on 7/30/2013 and vests over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.

- (12) This option was granted on 7/30/2013 and vests over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.

- (13) This option was granted on 4/14/2014 and vests over 4 years in equal annual installments commencing on the first anniversary of the grant date, subject to the reporting person's continued employment with or service to the Issuer or subsidiary thereof through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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