

Edgar Filing: UNIVERSAL CORP /VA/ - Form 5

UNIVERSAL CORP /VA/
Form 5
August 10, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Starkey, III James H.

(Last) (First) (Middle)

1501 North Hamilton Street

(Street)

Richmond Va 23230

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Universal Corporation UUV

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

8/01

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director

☐ Officer (give title below)

☐ 10% Owner

☐ Other (specify below)

7. Individual or Joint/Group Filing
(Check applicable line)

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

[illegible]

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)

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FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)		6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares	
Options to Buy Common Stock	35.3125					12/15/98	12/4/01		
Options to Buy Common stock	35.375					12/6/97	12/1/04		
Options to Buy Common Stock	38.94					6/15/98	11/20/07		
Options to Buy Common Stock	40.1875					6/8/98	12/4/01		
Options to Buy Common Stock	40.1875					6/8/98	12/1/04		
Options to Buy Common Stock	32.00					6/15/01	12/2/09		
Options to Buy Common Stock	38.20					12/15/01	12/2/09		

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Explanation of Responses:

(1) includes 988 shares acquired from 7/1/00 through 6/30/01 in the stock Purchase Plan

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- (2)number of shares held in the stock purchase plan
- (3)Mr. Starkey is the sole Trustee of a family trust which holds UC stock
- (4)Options issued under the executive stock plan

signature on file, filed electronically

August 10, 2001

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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