

Cole Keith N  
Form 4  
February 26, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Cole Keith N

(Last) (First) (Middle)

C/O W. R. GRACE & CO., 7500  
GRACE DRIVE

(Street)

COLUMBIA, MD 21044

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
W R GRACE & CO [GRA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/22/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify below)

Sr. VP, Govt. Rel. and EHS

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	02/22/2019		M	1,082 (1)	A (2) 9,017	D	
Common Stock, par value \$0.01 per share	02/22/2019		F	528	D \$ 77.65 8,489	D	
Common Stock, par value \$0.01 per share	02/25/2019		M	547 (3)	A (2) 9,036	D	

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Common Stock, par value \$0.01 per share	02/25/2019	F	264	D	\$ 77.93	8,772	D
Common Stock, par value \$0.01 per share	02/25/2019	A	3,943	A	\$ 0	12,715	D
Common Stock, par value \$0.01 per share	02/25/2019	F	1,884	D	\$ 77.93	10,831	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(4)	02/22/2019		M		1,082		(1)	(1)	Common Stock	1,082
Employee Stock Options (rights to buy)	\$ 78.115	02/25/2019		A		9,633		(5)	02/25/2029	Common Stock	9,633
Restricted Stock Units	(4)	02/25/2019		A		2,240		(6)	(6)	Common Stock	2,240
Restricted Stock Units	(4)	02/25/2019		M		547		(3)	(3)	Common Stock	547

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cole Keith N C/O W. R. GRACE & CO. 7500 GRACE DRIVE COLUMBIA, MD 21044			Sr. VP, Govt. Rel. and EHS	

## Signatures

/s/ Sean E. Dempsey,  
Attorney-in-Fact

02/26/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On February 23, 2017, the reporting person was granted 1,575 stock units, vesting annually in three substantially equal installments beginning on February 23, 2018, 525 of these stock units vested and settled on February 22, 2019. On February 22, 2018, the reporting person was granted 1,671 stock units, vesting in three substantially equal installments beginning on February 22, 2019, 557 of these stock units vested and settled on that date.
- (2) Restricted stock units converted into Common Stock on a one-for-one basis.
- (3) On February 25, 2016, the reporting person was granted 1,643 stock units, vesting annually in three substantially equal installments beginning on February 24, 2017, 547 of these stock units vested and settled on February 25, 2019.
- (4) Each Restricted Stock Unit represents a contingent right to receive one share of Common Stock or at the Issuer's election, the cash value thereof.
- (5) Options become exercisable in three substantially equal annual installments beginning on February 25, 2020.
- (6) Restricted Stock Units vest in three substantially equal annual installments beginning on February 25, 2020 and will be settled within 60 days of those vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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