Shelnitz Mark A Form 4 May 09, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5

obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Shelnitz Mark A

> (First) (Middle)

C/O W. R. GRACE & CO., 7500 **GRACE DRIVE** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

W R GRACE & CO [GRA]

3. Date of Earliest Transaction (Month/Day/Year) 05/07/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below) below) Sr. VP, GC and Secretary

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

COLUMBIA, MD 21044

| (City)  | (State)                                 | (Zip) Table   | e I - Non-D                            | erivative    | Secur                        | ities Acq      | uired, Disposed of   | f, or Beneficial   | ly Owned  |
|---|---|---|--|--------------|------------------------------|----------------|--|--|---|
| 1.Title of Security (Instr. 3)                    | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | (Instr. 3,   | ispose<br>4 and<br>(A)<br>or | d of (D)<br>5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock, par<br>value \$0.01<br>per share | 05/07/2018                              |   | Code V  M                              | Amount 3,557 | (D)                          | Price (1)      | 61,626   | D  |   |
| Common<br>Stock, par<br>value \$0.01<br>per share | 05/07/2018                              |   | F                                      | 1,711        | D                            | \$<br>69.99    | 59,915   | D  |   |
| Common<br>Stock, par<br>value \$0.01<br>per share |   |   |  |              |                              |                | 11,971   | I  | By Trust  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Instr |
|---|---|---|---|--|---|--|--------------------|---|--|----------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                  |
| Restricted<br>Stock<br>Units                        | <u>(3)</u>  | 05/07/2018                              |   | M                                      | 3,557   | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 3,557                                  | \$                               |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Shelnitz Mark A C/O W. R. GRACE & CO. 7500 GRACE DRIVE COLUMBIA, MD 21044

Sr. VP, GC and Secretary

# **Signatures**

/s/ Sean E. Dempsey, Attorney-in-Fact 05/09/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units converted into Common Stock on a one-for-one basis.
- (2) Such shares are held in a trust established by the issuer for the benefit of certain officers and directors.
- (3) Each Restricted Stock Unit represents a contingent right to receive one share of Common Stock or at the Issuer's election, the cash value thereof.
- (4) Restricted Stock Units vested and settled on May 7, 2018.

Reporting Owners 2

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