WILLIS CHARLES F IV

Form 4

December 13, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires:

2005 Estimated average

OMB APPROVAL

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** WILLIS CHARLES F IV			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			WILLIS LEASE FINANCE CORP [wlfc]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify			
773 SAN MA 2215	RIN DRIVE	, SUITE	12/11/2017	below) below) CEO			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
NOVATO, CA	A 94998		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I. New Design County of Act				

NOVATO	, CA 94998
--------	------------

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	ransactionor Disposed of (D) ode (Instr. 3, 4 and 5) nstr. 8)			5. Amount of Securities Beneficially Owned Following Reported	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	12/11/2017	12/11/2017	S		1,100 (1)	D	\$ 24.8137 (2)	636,918	D	
Common Stock	12/11/2017		G	V	2,920	D	\$ 0	633,998	D	
Common Stock	12/11/2017		G	V	584 (3)	A	\$ 0	1,760	I	Spouse (3)
Common Stock	12/11/2017		G	V	584 (4)	A	\$ 0	584	I	Granddaughter $\underline{^{(4)}}$
	12/12/2017	12/12/2017	S		900 (1)	D		633,098	D	

Edgar Filing: WILLIS CHARLES F IV - Form 4

Common	\$
Stock	24.4467
	(5)

Common Stock	2,134,148	I	CFW Partners
Common Stock	6,338	I	Son <u>(6)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned $(e.g., \, \mathrm{puts}, \, \mathrm{calls}, \, \mathrm{warrants}, \, \mathrm{options}, \, \mathrm{convertible} \, \mathrm{securities})$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8) I	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Owner	Officer	
	Officer	Other
X	CEO	
		Owner Officer X CEO

Signatures

Common

Charles F. Willis, IV	12/13/2017	
**Signature of Reporting Person	Date	

2 Reporting Owners

Edgar Filing: WILLIS CHARLES F IV - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 transaction
- This transaction was executed in multiple trades at prices ranging from \$24.31 to \$25.15. The price reported reflects the weighted average
- (2) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected
- (3) Gift of shares to Charlotte Montresor Willis
- (4) Gift of shares to Charles F. Willis, IV, as Trustee of the Wylder Grace Willis 2016 Trust
- This transaction was executed in multiple trades at prices ranging from \$24.38 to \$24.63. The price reported reflects the weighted average
- (5) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected
- (6) Charles F. Willis V UTMA Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.