Workhorse Group Inc. Form 4

March 22, 2016 **FORM 4** 

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005
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Form 4 or Form 5 obligations may continue. **SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

may continue. See Instruction

See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

PER SHARE

(Print or Type Responses)

1. Name and Address of Reporting Person ** BAKSA STEPHEN D			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			Workhorse Group Inc. [WKHS]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
			(Month/Day/Year)	DirectorX 10% Owner		
2 WOODS LANE			03/09/2016	Officer (give titleOther (specification)Other (specification)		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
				_X_ Form filed by One Reporting Person		
CHATHAM	I, NJ 07928			Form filed by More than One Reporting Person		

CIN 11111 III, 1 10 0 / 20				Person							
	(City)	(State) (Z	Zip) Table	I - Non-Do	erivative Sec	curitie	es Acquired,	Disposed of, or	Beneficially	Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or stiorDisposed of (D) (Instr. 3, 4 and 5)		Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	COMMON STOCK, \$0.001 PAR VALUE	03/09/2016		Code V	Amount 125,000	or (D)	Price \$ 500,000	Transaction(s) (Instr. 3 and 4) 2,677,513	(Instr. 4)		
	PER SHARE										
	COMMON STOCK, \$0.001 PAR VALUE							\$ 31,000	I	N/A	

### Edgar Filing: Workhorse Group Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te e	7. Title and An Underlying Sec (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
COMMON STOCK PURCHASE WARRANT	\$ 5.28					12/04/2015	12/04/2020	COMMON STOCK, PAR VALUE \$0.001	91,62
COMMON STOCK PURCHASE WARRANT	\$ 5.28					11/09/2015	11/09/2020	COMMON STOCK, PAR VALUE \$0.001	142,85
COMMON STOCK PURCHASE WARRANT	\$ 1.4					12/08/2014	12/07/2017	COMMON STOCK, PAR VALUE \$0.001	71,42
COMMON STOCK PURCHASE WARRANT	\$ 1.5					03/27/2014	03/27/2017	COMMON STOCK, PAR VALUE \$0.001	375,00

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

X

Reporting Owners 2

BAKSA STEPHEN D 2 WOODS LANE CHATHAM, NJ 07928

### **Signatures**

/s/ Stephen D. 03/22/2016 Baksa

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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