

CYANOTECH CORP

Form 3/A

March 04, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rudolf Steiner Foundation

(Last)

(First)

(Middle)

1002 O'REILLY AVE

(Street)

SAN

FRANCISCO, CA 94129

(City)

(State)

(Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/17/2011

3. Issuer Name and Ticker or Trading Symbol  
CYANOTECH CORP [CYAN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director    ☒ 10% Owner  
\_\_\_\_ Officer    \_\_\_\_ Other  
(give title below)    (specify below)

5. If Amendment, Date Original Filed (Month/Day/Year)

07/01/2011

6. Individual or Joint/Group

Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

767,133 <sup>(1)</sup>D <sup>(2)</sup>

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Shares

(I)  
(Instr. 5)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rudolf Steiner Foundation 1002 O'REILLY AVE SAN FRANCISCO, CA 94129	Â	Â X	Â	Â

## Signatures

/s/ Rudolf Steiner Foundation, Inc. by Gary Schick, its  
CFO

03/04/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Number of shares held as of the filing date of this amendment. The number of shares held at the time of the original Form 3 filing was 736,364.

On 06/28/2011, a Form 3 (Accession No. 0001010549-11-000680) was filed designating Gary Marvin Schick as a Reporting Person with indirect beneficial ownership through Rudolf Steiner Foundation, Inc. The original filing was incorrect. Rudolf Steiner Foundation, Inc. is the holder of the securities and is a reporting person due to its over 10% direct ownership interest in the issuer. Mr. Schick does not have any indirect beneficial ownership interest in the securities. This Form 3 is being filed to correct the Reporting Person and the Ownership Form designated on the original filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.