

Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4

LIGHTPATH TECHNOLOGIES INC

Form 4

October 17, 2002

-----  
FORM 4  
-----

-----  
OMB APPROVAL  
-----

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
-----

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

[ ] Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

=====

1. Name and Address of Reporting Person\*

Leeburg

Louis

-----  
(Last)

(First)

(Middle)

3819 Osuna NE

-----  
(Street)

Albuquerque

NM

87109

-----  
(City)

(State)

(Zip)

=====

2. Issuer Name and Ticker or Trading Symbol

LPTH

=====

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

=====

4. Statement for Month/Year

October 2002

=====

5. If Amendment, Date of Original (Month/Year)

=====

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

[X] Director

[ ] 10% Owner

[ ] Officer (give title below)

[ ] Other (specify below)

-----

=====

7. Individual or Joint/Group Filing (Check applicable line)

Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4

[x] Form filed by One Reporting Person  
 [ ] Form filed by More Than one Reporting Person

Page 1 of 3

Form 4 (continued)

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security (Instr. 3)	Transaction Date (mm/dd/yy)	Transaction Code	V	Amount or Price (D)	(A)	Price (D)	Amount of Secur- ities Bene- ficial Owned End of Mon (Instr 3 and
Class A common stock (1)	10/15/02	A	V	19,550	A	\$0.	33,3

1) Restrict Stock Award which vests over 2 years.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

Page 2 of 3

Form 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Number of Deriv- ative Secur-	Title and Amount of Underlying
Conver- sion	

**Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4**

Title of Derivative Security (Instr. 3)	of	Trans- action Code	Dis- posed of(D)	ities Acquired (A) or Disposed of(D)	Date	Exercisable and Expiration Date (Month/Day/Year)	Date	Expiration Date	Securities (Instr. 3 and 4)	Price of Deriv- ative Security (Instr. 3)	Amount or Number of (Instr. 3)
	Exer- cise Price of Deriv- ative Security (Instr. 3)										
Stock Option (3)	28.03	10/15/02	D	V	20000	11/01	11/10	Common	20,000		
Stock Option (3)	9.81	10/15/02	D	V	3000	10/98	10/07	Common	3,000		

3) Option cancelled.

Explanation of Responses:

/s/ Louis Leeburg	10/17/02
-----	-----
**Signature of Reporting Person	Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
 If space provided is insufficient, see Instruction 6 for procedures.  
 Potential persons who are to respond to the collection of information  
 contained in this form are not required to respond unless the form displays  
 a currently valid OMB Number. Page 3 of 3