

Edgar Filing: BLOOMBERG JEFFREY C - Form 5

BLOOMBERG JEFFREY C  
 Form 5  
 November 15, 2001

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 FORM 5  
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 OMB APPROVAL  
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OMB Number: 3235-0362  
 Expires: December 31, 2001  
 Estimated average burden  
 hours per response .... 1.0

// CHECK BOX IF NO UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 LONGER SUBJECT TO WASHINGTON, DC 20549  
 SECTION 16. FORM  
 4 OR FORM 5 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
 OBLIGATIONS MAY  
 CONTINUE. SEE Filed pursuant to Section 16(a) of the Securities  
 INSTRUCTION 1(b) Exchange Act of 1934, Section 17(a) of the  
 // FORM 3 HOLDINGS Public Utility Holding Company Act of 1935 or  
 REPORTED Section 30(f) of the Investment Company Act of 1940  
 // FORM 4  
 TRANSACTIONS  
 REPORTED

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1. Name and Address of Reporting Person* Bloomberg, Jeffrey C.	2. Issuer Name and Ticker or Trading Symbol Tweeter Home Entertainment Group, Inc TWTR	6. R I
(Last) (First) (Middle) 40 Pequot Way	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)	4. Statement for Month/Year 9/2001
(Street) Canton, Ma 02021		5. If Amendment, Date of Original (Month/Year)
(City) (State) (Zip)		7. I (

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TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount o curities cially O End of I Fiscal Y (Instr.
			Amount	(A) or (D) Price	
Common Stock	12/28/00	P	13,120	A 3.23	247,
Common Stock	12/28/00	P	2.450	A 8.50	247,

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Common Stock	8/31/00	G(1)	1,000	D	35.938	247,
Common Stock	7/3/01	G(2)	1,000	D	33.79	247,

\*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA...  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7.
				(A)	(D)	Date Exercisable	Expiration Date	
Option	3.23	12/28/00	X		13,120			Co
Option	8.50	12/28/00	X		2,450			Co
Option	12.97	9/27/01	J(3)	3,500		9/27/01	9/27/04	Co

1. Title of Derivative Security (Instr. 3)	9. Number of Derivative Securities Beneficially	10. Ownership of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership
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	Owned at End of Year (Instr. 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Option	18,550	D	
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Option	18,550	D	

Explanation of Responses:

1. Gift of 1,000 shares to Jewish Communal Fund.
  2. Gift of 1,000 shares to Jewish Communal Fund.
  3. The 3,500 option shares granted on 9/27/2001 are fully vested upon issuance and expire on 9/27/2006.
- \*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ \_\_\_\_\_  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*Si

Note. File three copies of this form, one of which must be manually signed. /s/ J  
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.