

Edgar Filing: GDL FUND - Form NSAR-B

GDL FUND
Form NSAR-B
February 27, 2015

PAGE 1
000 B000000 12/31/2014
000 C000000 0001378701
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE GDL FUND
001 B000000 811-21969
001 C000000 9149215100
002 A000000 ONE CORPORATE CENTER
002 B000000 RYE
002 C000000 NY
002 D010000 10580
002 D020000 1422
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 GABELLI FUNDS, LLC
008 B000001 A
008 C000001 801-37706
008 D010001 RYE
008 D020001 NY
008 D030001 10580
008 D040001 1422
010 A000001 GABELLI FUNDS, LLC
010 B000001 801-37706
010 C010001 RYE
010 C020001 NY
010 C030001 10580
010 C040001 1422
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000002 84-01761
010 C010002 WILMINGTON
010 C020002 DE
010 C030002 19809
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 ERNST & YOUNG LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
PAGE 2
014 A000001 G.RESEARCH, INC.
014 B000001 8-21373
014 A000002 G.DISTRIBUTORS, LLC
014 B000002 8-68697
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C

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015 C010001 EVERETT
015 C020001 MA
015 C030001 02149
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 33
019 C000000 GABELLIGRP
020 A000001 G.RESEARCH, INC.
020 B000001 13-2885006
020 C000001 261
020 A000002 WEEDEN & CO. LP
020 B000002 13-3364318
020 C000002 61
020 A000003 GUGGENHEIM INVESTOR SERVICES, LLC
020 B000003 30-0003311
020 C000003 27
020 A000004 SANFORD C. BERNSTEIN & CO. LLC
020 B000004 13-4132953
020 C000004 24
020 A000005 BNY CONVERGEX EXECUTION SOLUTIONS LLC
020 B000005 13-3989198
020 C000005 20
020 A000006 MKM PARTNERS LLC
020 B000006 13-4171600
020 C000006 17
020 A000007 BAY CREST PARTNERS LLC
020 B000007 13-3697789
020 C000007 15
020 A000008 MACQUARIE CAPITAL (USA) INC.
020 B000008 98-0141094
020 C000008 14
020 A000009 NOMURA SECURITIES INTERNATIONAL, INC.
020 B000009 13-2642206
020 C000009 14
020 A000010 RBC CAPITAL MARKETS CORP.
020 B000010 41-1228350
020 C000010 11
021 000000 494
022 A000001 BARCLAYS CAPITAL, INC.
022 B000001 06-1031656
022 C000001 502504
022 D000001 676368
022 A000002 DAIWA SECURITIES AMERICA, INC.
022 B000002 13-5680329
PAGE 3
022 C000002 208096
022 D000002 0
022 A000003 ALGETA ASA
022 C000003 0
022 D000003 20533
022 A000004 MICROS SYSTEMS, INC.
022 B000004 52-1101488
022 C000004 0
022 D000004 20400
022 A000005 SANTARUS, INC.
022 B000005 33-0734433
022 C000005 0
022 D000005 19840
022 A000006 OPENTABLE, INC.
022 B000006 94-3374049
022 C000006 0

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| | | |
|-----|---------|-------------------------|
| 022 | D000006 | 19508 |
| 022 | A000007 | RESPONSYS, INC. |
| 022 | B000007 | 77-0476820 |
| 022 | C000007 | 0 |
| 022 | D000007 | 18524 |
| 022 | A000008 | MOVE, INC. |
| 022 | B000008 | 95-4438337 |
| 022 | C000008 | 0 |
| 022 | D000008 | 16800 |
| 022 | A000009 | HITTITE MICROWAVE CORP. |
| 022 | B000009 | 04-2854672 |
| 022 | C000009 | 0 |
| 022 | D000009 | 16538 |
| 022 | A000010 | VIROPHARMA INC. |
| 022 | B000010 | 23-2789550 |
| 022 | C000010 | 0 |
| 022 | D000010 | 16500 |
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| 023 | D000000 | 995141 |
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| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | Y |
| 026 | G010000 | Y |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| | PAGE | 4 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
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| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
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| | | |
|-----|---------|-------|
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.500 |
| 048 | A010000 | 0 |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0 |
| | PAGE | 5 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
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| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
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| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0 |
| 048 | K020000 | 0.000 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | Y |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | N |
| 054 | H000000 | N |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |

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054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 Y
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
PAGE 6
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
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062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 Y
070 I020000 N

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| | | | |
|-------|---------|-----|--------|
| 070 | J010000 | Y | |
| 070 | J020000 | N | |
| 070 | K010000 | Y | |
| 070 | K020000 | N | |
| 070 | L010000 | Y | |
| | PAGE | 7 | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | N | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | Y | |
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| 071 | B000000 | | 784489 |
| 071 | C000000 | | 249210 |
| 071 | D000000 | 315 | |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 80 |
| 072 | C000000 | | 4246 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 2767 |
| 072 | G000000 | | 0 |
| 072 | H000000 | | 135 |
| 072 | I000000 | | 17 |
| 072 | J000000 | | 57 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 104 |
| 072 | M000000 | | 150 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 4088 |
| 072 | Q000000 | | 45 |
| 072 | R000000 | | 25 |
| 072 | S000000 | | 9 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 460 |
| 072 | X000000 | | 7857 |
| 072 | Y000000 | | 6 |
| 072 | Z000000 | | -3525 |
| 072AA | 000000 | | 13277 |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 8402 |
| 072DD | 010000 | | 1210 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 11009 |
| 073 | A010000 | | 0.0600 |
| | PAGE | 8 | |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.5300 |
| 073 | C000000 | | 0.2100 |
| 074 | A000000 | | 6289 |

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| | | |
|-----|---------|--|
| 074 | B000000 | 0 |
| 074 | C000000 | 19245 |
| 074 | D000000 | 0 |
| 074 | E000000 | 0 |
| 074 | F000000 | 361605 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 2043 |
| 074 | J000000 | 11606 |
| 074 | K000000 | 0 |
| 074 | L000000 | 25838 |
| 074 | M000000 | 1850 |
| 074 | N000000 | 428476 |
| 074 | O000000 | 21526 |
| 074 | P000000 | 1037 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 24586 |
| 074 | R030000 | 0 |
| 074 | R040000 | 136433 |
| 074 | S000000 | 0 |
| 074 | T000000 | 244894 |
| 074 | U010000 | 20243 |
| 074 | U020000 | 0 |
| 074 | V010000 | 12.10 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 9159 |
| 074 | Y000000 | 86102 |
| 075 | A000000 | 0 |
| 075 | B000000 | 392331 |
| 076 | 000000 | 10.23 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
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| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| | PAGE | 9 |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | TRAVELERS-ST. PAUL FIRE AND MARINE INSURANCE |
| 080 | B000000 | GREAT AMERICAN INSURANCE COMPANY |
| 080 | C000000 | 30625 |
| 081 | A000000 | Y |
| 081 | B000000 | 43 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |

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| | | | |
|-----------|---------------------|--------------------------------------|------|
| 084 | A000000 | N | |
| 084 | B000000 | | 0 |
| 085 | A000000 | Y | |
| 085 | B000000 | N | |
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| 086 | B010000 | | 793 |
| 086 | B020000 | | 8757 |
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| 086 | C020000 | | 0 |
| 086 | D010000 | | 0 |
| 086 | D020000 | | 0 |
| 086 | E010000 | | 0 |
| 086 | E020000 | | 0 |
| 086 | F010000 | | 0 |
| 086 | F020000 | | 0 |
| 087 | A010000 | COMMON STOCK | |
| 087 | A020000 | 361570104 | |
| 087 | A030000 | GDL | |
| 087 | B010000 | SERIES B CUMULATIVE PREFERRED SHARES | |
| 087 | B020000 | 361570302 | |
| 087 | B030000 | GDL PR B | |
| 088 | A000000 | N | |
| 088 | B000000 | N | |
| 088 | C000000 | N | |
| 088 | D000000 | Y | |
| SIGNATURE | JOSEPH H. EGAN | | |
| TITLE | ASSISTANT TREASURER | | |