

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

November 20, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Booth, I. MacAllister
 c/o State Street Corporation
 225 Franklin Street
 Boston, MA 02110
2. Issuer Name and Ticker or Trading Symbol
 State Street Corporation
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
 11/01/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director () 10% Owner () Officer (give title below) () Other
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------------------|--|--|
| Common Stock | 11/01/01 | G | V 3,500 | D 24,814 (1) |
| | | | | 3,200 (1) |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Ownership |
|---------------------------------|--|---------------------|---------------------|---|---|--|----------------------------------|
| | | | | | | | |

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Explanation of Responses:

(1) Reflects two-for-one stock split distributed on May 30, 2001.

(2) Shares held in a trust for the benefit of family members of which the reporting person is a trustee. Reporting person disclaims beneficial ownership of any such shares.

SIGNATURE OF REPORTING PERSON

/s/I. MacAllister Booth

DATE

11/16/01