Perlysky Dov Form 4 October 22, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Perlysky Dov

2. Issuer Name and Ticker or Trading Symbol

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Last)

(City)

Security

(Instr. 3)

Common

Stock

(First) (Middle) ENZO BIOCHEM INC [ENZ]

X\_ Director 10% Owner Officer (give title Other (specify

C/O ENZO BIOCHEM, INC., 527 **MADISON AVENUE** 

(Street)

(State)

10/18/2018

(Month/Day/Year)

4. If Amendment, Date Original

10/18/2018

(Month/Day/Year)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

below)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10022

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired

(Month/Day/Year)

(Zip)

Execution Date, if Code

Transaction(A) or Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Reported

(D) Price Code V Amount

P 266,235 (1) 10,000 D

Common Stock

See footnotes I 523,457 (2) (3) (4) (5)

(6) (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerci | isable and | 7. Title | e and    | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|-------------|------------|----------------|------------|----------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | onNumber   | Expiration Da  | te         | Amour    | nt of    | Derivative  | I |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/Y   | (ear)      | Underl   | lying    | Security    | 5 |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e              |            | Securit  | ties     | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |             | Securities |                |            | (Instr.  | 3 and 4) |             | ( |
|             | Security    |                     |                    |             | Acquired   |                |            |          |          |             | 1 |
|             |             |                     |                    |             | (A) or     |                |            |          |          |             | 1 |
|             |             |                     |                    |             | Disposed   |                |            |          |          |             | - |
|             |             |                     |                    |             | of (D)     |                |            |          |          |             | ( |
|             |             |                     |                    |             | (Instr. 3, |                |            |          |          |             |   |
|             |             |                     |                    |             | 4, and 5)  |                |            |          |          |             |   |
|             |             |                     |                    |             |            |                |            |          | A        |             |   |
|             |             |                     |                    |             |            |                |            |          | Amount   |             |   |
|             |             |                     |                    |             |            | Date           | Expiration |          | or       |             |   |
|             |             |                     |                    |             |            |                | Date       |          | Number   |             |   |
|             |             |                     |                    |             |            |                |            |          | of       |             |   |
|             |             |                     |                    | Code V      | (A) (D)    |                |            |          | Shares   |             |   |

### **Reporting Owners**

| Reporting Owner Name / Address | <b>. </b> |           |         |       |  |  |
|--------------------------------|-----------|-----------|---------|-------|--|--|
|                                | Director  | 10% Owner | Officer | Other |  |  |

Perlysky Dov C/O ENZO BIOCHEM, INC. 527 MADISON AVENUE NEW YORK, NY 10022



#### **Signatures**

/s/ Dov Perlysky 10/22/2018

\*\*Signature of Person Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

- (1) Includes 218,816 vested options.
- (2) Includes 45,000 Common Stock owned by Sky Ventures LLC, whose manager is Dov Perlysky and of which Dov Perlysky disclaims beneficial ownership.
- (3) Includes 109,237 Common Stock owned by RSD 2010 Enzo GRAT, whose trustee is Dov Perlysky and of which Dov Perlysky disclaims beneficial ownership.
- (4) Includes 325,875 Common Stock held by Kinder Investment LP, an entity of which Dov Perlysky is the Managing Member of the General Partner and of which Dov Perlysky disclaims beneficial ownership.
- (5) Includes 6,981 Common Stock held by Krovim LLC, an entity of which Dov Perlysky is the Managing Member of the General Partner, and children of Dov Perlysky own a 27.54% interest, and of which Dov Perlysky disclaims beneficial ownership.
- (6) Includes 3,225 Common Stock held by MidAtlantic Capital Lending LLC, an entity of which Dov Perlysky owns 1% and of which Dov Perlysky disclaims beneficial ownership.
- (7) Includes 33,139 Common Stock held by Laya Perlysky, of which Dov Perlysky disclaims beneficial ownership and of which Dov Perlysky disclaims beneficial ownership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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