

ADVANCED ENERGY INDUSTRIES INC
Form DEFA14A
March 14, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 14A

Proxy Statement Pursuant to Section 14(a) of
the Securities Exchange Act of 1934
(Amendment No.)

Filed by the Registrant ☐ Filed by a Party other than the Registrant ☐

Check the appropriate box:

- ☐ Preliminary Proxy Statement
- ☐ Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
- ☐ Definitive Proxy Statement
- ☐ Definitive Additional Materials
- ☐ Soliciting Material Pursuant to §240.14a-12

ADVANCED ENERGY INDUSTRIES, INC.
(Name of Registrant as Specified In Its Charter)

(Name of Person(s) Filing Proxy Statement, if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- ☐ No fee required.
- ☐ Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

(2) Aggregate number of securities to which transaction applies:

Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth
(3) the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid:

- ☐ Fee paid previously with preliminary materials.

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for
which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the
Form or Schedule and the date of its filing.

(1) Amount Previously Paid:

(2) Form, Schedule or Registration Statement No.:

(3) Filing Party:

(4) Date Filed:

Important Notice Regarding the Availability of Proxy Materials for the Stockholder Meeting to be held on May 4, 2017, for Advanced Energy Industries, Inc.

This communication presents only an overview of the more complete proxy materials that are available to you on the Internet and is not a form for voting. We encourage you to access and review all of the important information contained in the proxy materials before voting. To view the proxy statement and annual report, go to www.proxydocs.com/aeis. To submit your vote while visiting this site, you will need the 12 digit control number in the box below.

Under new
United States
Securities and
Exchange
Commission
rules, proxy
materials do
not have to be
delivered in
paper. Proxy
materials can
be distributed
by making
them
available on
the Internet.
We have
chosen to use
these
procedures
for our 2017
Annual
Meeting and
need YOUR
participation.
If you want to
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There is no
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a copy. In
order to
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paper
package in
time for this

year's annual
meeting,
please make
this request
on or before
April 24,
2017.

View Proxy Materials and Annual Report Online at
www.proxydocs.com/aeis
A convenient way to view proxy materials and
VOTE!

Have the 12 digit control number available when
you access the website and follow the instructions.

Material may be requested by one of the following
methods:

INTERNET

www.investorelections.com/aeis

You must use the 12 digit control number
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TELEPHONE *E_MAIL

(866)
648-8133

paper@investorelections.com

*If requesting material by e-mail, please send a blank e-mail with
the 12 digit control number (located below) in the subject line. No
other requests, instructions or other inquiries should be included in
your e-mail requesting material.

ACCOUNT
NO. SHARES

Advanced Energy Industries, Inc. Notice of Annual Meeting

Date: Thursday, May 4, 2017

Time: 9:00 AM (Mountain Daylight Time)

Place: 1625 Sharp Point Drive, Fort Collins, Co 80525

The purpose of the Annual Meeting is to take action on the proposals listed below.

The Board of Directors recommends that you vote "FOR" the following proposals:

1. To elect 7 Directors.

Nominees: 01 Frederick A. Ball 03 Ronald C. Foster 05 Thomas M. Rohrs 07 Yuval Wasserman
02 Grant H. Beard 04 Edward C. Grady 06 John A. Roush

2. Proposal Two - Ratification of the appointment of Grant Thornton LLP as Advanced Energy's
independent registered public accounting firm for the fiscal year ending December 31, 2017.

3. Proposal Three - Approval of Advanced Energy's 2017 Omnibus Incentive Plan (including the
Form of the Long Term Incentive Plan attached thereto)

4. Proposal Four - Approval of Advanced Energy's Short Term Incentive Plan

5. Proposal Five - Advisory approval of Advanced Energy's compensation of its named executive
officers

6. Proposal Six - Advisory vote on the frequency of future advisory votes on executive
compensation

7. Proposal Seven - Approval of an amendment to Advanced Energy's bylaws to provide that
Delaware will serve as the exclusive forum for the adjudication of certain legal disputes

Should you require directions to the annual meeting, please call (970)
221-4670

Vote in Person Instructions: While we encourage shareholders to vote by the means indicated above, a shareholder is entitled to vote in person at the annual meeting. Additionally, a shareholder who has submitted a proxy before the meeting, may revoke that proxy in person at the annual meeting.