Lengyel Philip E. Form 4 January 28, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* Lengyel Philip E.

(First)

(State)

2. Issuer Name and Ticker or Trading

Symbol

Steel Connect, Inc. [STCN]

3. Date of Earliest Transaction

01/24/2019

(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O STEEL CONNECT, INC., 1601 TRAPELO ROAD, SUITE 170

(Middle)

(Zip)

Officer (give title below)

10% Owner Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non Danivative Securities Acquired Disposed of or Papariaially Owned

WALTHAM, MA 02451

| (City)                                  | (State) (                               | Tabl  | e I - Non-D                             | erivative S  | Securi           | ties Acq   | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|---|---|---|---|--|------------------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)    | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |   | Code V                                  | Amount   | (A)<br>or<br>(D) | Price      | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Common<br>Stock,<br>\$0.01 par<br>value | 01/24/2019                              |   | S                                       | 200  | D                | \$ 1.7     | 126,579  | D  |   |
| Common<br>Stock,<br>\$0.01 par<br>value | 01/25/2019                              |   | S                                       | 325  | D                | \$ 1.7     | 126,254  | D  |   |
| Common<br>Stock,<br>\$0.01 par<br>value | 01/28/2019                              |   | S                                       | 22,175   | D                | \$<br>1.65 | 104,079  | D  |   |

#### Edgar Filing: Lengyel Philip E. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|            | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc   | isable and | 7. Titl    | e and      | 8. Price of | 9 |
|------------|-------------|-------------|---------------------|--------------------|-------------|------------|-----------------|------------|------------|------------|-------------|---|
|            | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Date |            | Amount of  |            | Derivative  | J |
|            | Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/     | Year)      | Under      | lying      | Security    | , |
| (Instr. 3) |             | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative |                 |            | Securities | (Instr. 5) | ]           |   |
|            |             | Derivative  |                     |                    |             | Securities |                 | (Ins       |            | 3 and 4)   |             | ( |
| ,          |             | Security    |                     |                    |             | Acquired   |                 |            |            |            |             | ] |
|            |             |             |                     |                    |             | (A) or     |                 |            |            |            |             | J |
|            |             |             |                     |                    |             | Disposed   |                 |            |            |            |             | - |
|            |             |             |                     |                    |             | of (D)     |                 |            |            |            |             | ( |
|            |             |             | (Instr. 3,          |                    |             |            |                 |            |            |            |             |   |
|            |             |             |                     |                    |             | 4, and 5)  |                 |            |            |            |             |   |
|            |             |             |                     |                    |             |            |                 |            |            | A          |             |   |
|            |             |             |                     |                    |             |            |                 |            |            | Amount     |             |   |
|            |             |             |                     |                    |             | Date       | Expiration      |            | or         |            |             |   |
|            |             |             |                     |                    |             |            | Exercisable     | Date       |            | Number     |             |   |
|            |             |             |                     | C 1 17             | (A) (D)     |            |                 | of         |            |            |             |   |
|            |             |             |                     |                    | Code V      | (A) (D)    |                 |            |            | Shares     |             |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lengyel Philip E.
C/O STEEL CONNECT, INC.
1601 TRAPELO ROAD, SUITE 170
WALTHAM, MA 02451

## **Signatures**

/s/ Philip E.

Lengyel 01/28/2019

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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