Edgar Filing: INTL FCSTONE INC. - Form 4

| INTL FCSTC Form 4 | ONE INC. | | | | | | | | | | |
|---|---|--|--|------------|-----------|---|---|--|---|--|--|
| December 17 | , 2013 | | | | | | | | | | |
| FORM | 4 | | | | | | | | | | |
| | UNITED S | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | 3235-0287 | | |
| Check this if no long subject to Section 10 Form 4 or | er STATEM | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | |
| Form 5 obligation may conti <i>See</i> Instru 1(b). | $\frac{18}{1000}$ Section 17(a) | response 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type R | (esponses) | | | | | | | | | | |
| 1. Name and Ad Dunaway W | Symbol | 2. Issuer Name and Ticker or Trading Symbol INTL FCSTONE INC. [INTL] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (Mid | idle) 3. Date of 1 | 3. Date of Earliest Transaction | | | | (Chec | (Check all applicable) | | | |
| 1251 NW BI PKWY, SUI | | (Month/Da 12/14/20 | y/Year) | | | | Director X Officer (give below) Chief | | | | |
| | (Street) 4. If Amen Filed(Montl | | | e Original | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | | |
| KANSAS C | ITY, MO 64116 | | • | | | | _X_ Form filed by (| One Reporting Pe fore than One Re | | | |
| (City) | (State) (Z | ^{ip)} Table | I - Non-De | rivative S | ecurit | ies Acq | uired, Disposed of | f, or Beneficial | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)(A) | | |)) | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Destricted | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Restricted Shares of Common Stock (1) | 12/14/2013 | | А | 2,470 | A | \$ 0 | 15,928 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Unde Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---------------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Dunaway William J 1251 NW BRIARCLIFF PKWY SUITE 800 KANSAS CITY, MO 64116 | | | Chief Financial Officer | | | | |
| Signatures | | | | | | | |

William J. Dunaway 12/16/2013

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued pursuant to the terms of the Company's Executive Performance Plan. Shares vest equally on anniversary in years one, two and three.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.