**VFINANCE INC** Form 3 April 01, 2005

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

KENNEDY KATHLEEN J

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/28/2005

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

VFINANCE INC [VFIN]

(Check all applicable)

Exec. VP, Corporate Marketing

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O VFINANCE, INC., 3010 NORTH MILITARY TRAIL, **SUITE 300** 

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

BOCA RATON. FLÂ 33431

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Date

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

(Instr. 4)

Title

Amount or

Price of Derivative Security: Derivative Security Direct (D)

(Instr. 4)

Expiration

Exercisable

Number of

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Shares or Indirect

(I) (Instr. 5)

Employee Stock Option (right to buy)  $\hat{A} \stackrel{(1)}{=} 01/18/2010 \stackrel{Common}{Stock} 500,000 \$ 0.28$  D  $\hat{A}$ 

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KENNEDY KATHLEEN J C/O VFINANCE, INC. 3010 NORTH MILITARY TRAIL, SUITE 300 BOCA RATON, FLÂ 33431

Â Exec. VP, Corporate Marketing Â

**Signatures** 

/s/ Kathleen J. 03/30/2005 Kennedy

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable in four equal annual installments beginning on January 19, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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