

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

HENNESSY ADVISORS INC

Form 8-K

December 03, 2003

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SECURITIES AND EXCHANGE COMMISSION

UNITED STATES

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) December 3, 2003

HENNESSY ADVISORS, INC.

(Exact name of registrant as specified in its charter)

<u>California</u> ----- (State or other jurisdiction of incorporation)	<u>000-49872</u> ----- Commission File Number)	<u>68-0176227</u> ----- (IRS Employer Identification No.)
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<u>750 Grant Avenue, Suite 100</u> <u>Novato, California</u> (Address of principal executive offices)	<u>94945</u> ----- (Zip Code)
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Registrant's telephone number including area code: (415) 899-1555

Not Applicable

(Former name or former address, if changed since last report)

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- Item 7. Financial Statements and Exhibits
- (c) Exhibits
- 99.1 Press Release dated December 3, 2003

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Item 9. Regulation FD Disclosure

On December 3, 2003, Hennessy Advisors, Inc. ("Hennessy") announced that it has surpassed \$1 billion in assets under management in its five no-load Hennessy Funds.

Additional information regarding Hennessy is provided in its press release dated December 3, 2003, attached hereto as Exhibit 99.1.

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Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.
(registrant)

December 3, 2003

By: /s/ Teresa M. Nilsen

Teresa M. Nilsen
Chief Financial Officer and
Executive Vice President

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