

Edgar Filing: REGENCY CENTERS CORP - Form 4

REGENCY CENTERS CORP  
Form 4  
September 12, 2002

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OMB APPROVAL  
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OMB Number 3235-0287  
Expires: January 31, 2005  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

-----  
1. Name and Address of Reporting Person\*

Luke Douglas S.

-----  
(Last) (First) (Middle)

405 Lexington Avenue

-----  
(Street)

New York NY 10174

-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Regency Centers Corporation (REG)

-----  
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

-----  
4. Statement for Month/Day/Year

September 10, 2002

-----  
5. If Amendment, Date of Original (Month/Day/Year)

-----  
6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Price
			Code	V	Amount	(A) or (D)	
Common Stock	09/10/02		M		4,044	A	\$22.9380
Common Stock	09/10/02		F		2,992	D	\$31.00
Common Stock	09/10/02		M		1,000	A	\$22.25
Common Stock	09/10/02		F		718	D	\$31.00
Common Stock	09/10/02		M		3,750	A	\$21.8750
Common Stock	09/10/02		F		2,646	D	\$31.00
Common Stock	09/10/02		M		2,500	A	\$22.0625

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

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Washington, D.C. 20549

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Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

Luke	Douglas	S.
-----	-----	-----
(Last)	(First)	(Middle)
405 Lexington Avenue		
-----		
(Street)		
New York	NY	10174
-----	-----	-----
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Regency Centers Corporation (REG)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Day/Year

September 10, 2002

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

<input checked="" type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
<input type="checkbox"/> Officer (give title below)	<input type="checkbox"/> Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

<input checked="" type="checkbox"/> Form filed by one Reporting Person
<input type="checkbox"/> Form filed by more than one Reporting Person

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Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
			Code	V	Amount	(A) or (D)	Price
Common Stock	09/10/02		F		1,779	D	\$31.00
Common Stock	09/10/02		M		1,250	A	\$24.60
Common Stock	09/10/02		F		992	D	\$31.00
Common Stock	09/10/02		M		1,521	A	Note 1

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Note 1: Settlement of dividend equivalent units in connection with exercise of option.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

2.  
Conver-  
sion

5.  
Number of

7.  
Title and Amount

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1. Title of Derivative Security (Instr. 3)	or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A. Deemed Execu- tion Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Stock option (right to buy)	\$22.9380	09/10/02		M	1,635	11/08/98 11/05/03	Common Stock 1,635
Stock option (right to buy)	\$22.9380	09/10/02		M	730	11/08/98 12/31/04	Common Stock 730
Stock option (right to buy)	\$22.9380	09/10/02		M	752	11/08/98 12/31/05	Common Stock 752
Stock option (right to buy)	\$22.9380	09/10/02		M	927	11/08/98 12/31/06	Common Stock 927
Stock option (right to buy)	\$22.25	09/10/02		M	1,000	12/31/99 12/31/08	Common Stock 1,000
Stock option (right to buy)	\$21.8750	09/10/02		M	3,750	05/06/00 05/06/09	Common Stock 3,750
Stock option (right to buy)	\$22.0625	09/10/02		M	2,500	05/03/01 05/03/10	Common Stock 2,500
Stock option (right to buy)	\$24.60	09/10/02		M	1,250	05/01/02 05/01/11	Common Stock 1,250

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

=====

1. Title of	2. Conver- sion or Exer- cise Price of Deriv-	3. Trans- action Date	3A. Deemed Execu- tion Date, if any	4. Trans- action Code (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year) -----	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or
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Derivative Security (Instr. 3)	ative Secur-ity	(Month/ Day/ Year)	(Month/ Day/ Year)	8) ----- Code V	4 and 5) ----- (A) (D)	Date Exer- cisable	Expira- tion Date	Title	Number of Shares
Stock option (right to buy)	\$31.00	09/10/02		A	1,210	09/10/02	11/05/03	Common Stock	1,210
Stock option (right to buy)	\$31.00	09/10/02		A	540	09/10/02	12/31/04	Common Stock	540
Stock option (right to buy)	\$31.00	09/10/02		A	556	09/10/02	12/31/05	Common Stock	556
Stock option (right to buy)	\$31.00	09/10/02		A	686	09/10/02	12/31/06	Common Stock	686
Stock option (right to buy)	\$31.00	09/10/02		A	718	09/10/02	12/31/08	Common Stock	718
Stock option (right to buy)	\$31.00	09/10/02		A	2,646	09/10/02	05/06/09	Common Stock	2,646
Stock option (right to buy)	\$31.00	09/10/02		A	1,779	09/10/02	05/03/10	Common Stock	1,779
Stock option (right to buy)	\$31.00	09/10/02		A	992	09/10/02	05/01/11	Common Stock	992
Divident Equivalent	1 for 1	09/10/02		M	1,521	Note 1		Common Stock	1,521

Explanation of Responses:

Note 1: Settlement of dividend equivalent units in connection with exercise of option.

/s/ Linda Y. Kelso

September 12, 2002

Linda Y. Kelso, as attorney-in-fact for Douglas S. Luke

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.