## Edgar Filing: ARI NETWORK SERVICES INC /WI - Form 4

ARI NETWORK SERVICES INC /WI Form 4 December 17, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading POSEIDON P LEE Issuer Symbol ARI NETWORK SERVICES INC (Check all applicable) /WI [ARIS] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 10850 WEST PARK 12/15/2015 PLACE, SUITE 1200 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting MILWAUKEE, WI 53224 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common S Р 12/15/2015 85,954 1,400 A D 4.14 Stock Common 12/15/2015 Ρ 1,600 А 87,554 D 4.15 Stock Common 12/15/2015 Ρ 100 A 87,654 D 4.16 Stock Common P 12/15/2015 400 Α 88,054 D Stock 4.17

Ρ

300

Α

88,354

D

Common

Stock

12/15/2015

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Common Stock	12/15/2015	Р	900	A	\$ 4.19 89,254	D
Common Stock	12/15/2015	Р	3,100	А	\$ 4.2 92,354	D
Common Stock	12/15/2015	Р	200	А	\$ 4.21 92,554	D
Common Stock	12/15/2015	Р	200	А	\$ 4.22 92,754	D
Common Stock	12/15/2015	Р	300	А	\$ 93,054 4.23	D
Common Stock	12/15/2015	Р	900	А	\$ 4.24 93,954	D
Common Stock	12/15/2015	Р	500	A	\$ 4.25 94,454	D
Common Stock	12/16/2015	Р	6,341	А	\$ 100,795 4.15	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Shares

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	ionNumber Expirati of (Month/ ) Derivative Securities Acquired (A) or Disposed of (D)			7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				(Instr. 3, 4, and 5)						
				4, and 3)						
					Date Exercisable	Expiration Date	Title	Amount or Number of		

Code V (A) (D)

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer Other

POSEIDON P LEE 10850 WEST PARK PLACE SUITE 1200 MILWAUKEE, WI 53224

## **Signatures**

\*

(William A. Nurthen pursuant to Power of Attorney filed herewith)

\*\*Signature of Reporting Person

Х

12/17/2015

Date

**Explanation of Responses:** 

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.