Edgar Filing: ROUSE WILLIAM L JR - Form 4

ROUSE WILLIAM L JR

Form 4

December 17, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

 Name and Address of Reporting Person Rouse, Jr., William L.

2201 Regency Road Suite 602 Lexington, Kentucky 40503

- 3. IRS or Social Security Number of Reporting Person (Voluntary)
- 4. Statement for Month/Year December 16, 2002
- 5. If Amendment, Date of Original (Month/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) (X) Director () 10% Owner () Officer (give title below) () Other (specify below)
- 7. Individual or Joint/Group Filing (Check Applicable Line)
 - (X) Form filed by One Reporting Person
 - () Form filed by More than One Reporting Person

Table I Non-Derivati	ve Secu	rities Acquir	ed, Disposed	d of, or Bene	ficiall	y Owned	
1. Title of Security	Tra	3. 4.3 ansaction 6 te Code V		Acquired (A) of (D) A/ D	Price	5.Amount of Securities Beneficially Owned at End of Month	
Common Stock						2,000 (1) 	

 $\hbox{ Table II -- Derivative Securitites Acquired, Disposed of, or Beneficially Owned} \\$

1.Title of Derivative	2.Con-	13.	4.	15	.Number of I	De	6.Date Exer 7	.Title and Amount	8.E
Security	version	Trans	saction		rivative Sed	cu	cisable and	of Underlying	of
	or Exer	1			rities Acqui	i	Expiration	Securities	vat
	cise	1			red(A) or D	is	Date(Month/		Sec
	Price of			1 :	posed of(D)		Day/Year)		rit
	Deriva-						Date Expir		
	tive	1			1	A/	Exer- ation	Title and Number	
	Secu-	1			1	D	cisa- Date	of Shares	
	rity	Date	Code \	J	Amount		ble		

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Option	(2)	37.50 	 	 		 		7-28- 94		Common	Stock 1,000	
Option	(2)	33.00						7-27- 95		Common	Stock 1,000	
Option	(2)	43.125 						7-31- 97		Common	Stock 1,000	
Option	(2)	52.75 	 					7-30- 98		Common	Stock 1,000	
Option	(3)	46.76 	 						 - 2-29- 12	Common	Stock 2,500	
Common	Stock Units (4) 1-for-1 	12-16 -02	6 J 		178 	A 		 	Common	Stock 178 	\$29

Explanation of Responses:

- 1. Represents shares of restricted common stock acquired pursuant to Ashland's stock incentive plans as approved by the shareholders and exempt pursuant to Rule 16b-3.
- 2. Stock options (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Deferred Compensation and Stock Incentive Plan for Non-Employee Directors. The stock option includes a tax withholding feature pursuant to the plan.
- 3. Stock options (represents a right to buy Ashland Common Stock) under Ashland's Amended and Restated Incentive Plan. The stock option includes a tax withholding feature pursuant to the plan.
- 4. Common Stock Units acquired pursuant to Ashland's Deferred Compensation Plan for Non-Employee Directors as of 12-16-02, payable in cash or stock upon termination in service, and exempt

under Rule 16b-3. (One (1) Common Stock Unit in Ashland's Deferred Compensation Plan for Non-Employee Directors is the equivalent of one (1) share of Ashland Common Stock)

SIGNATURE OF REPORTING PERSON

Amelia A. McCarty - Attorney - in - Fact

DATE

December 17, 2002

1; border-right-width: 1; border-bottom-width: 1"> Common Stock05/09/2018 A 2,138 (1) A \$ 81.88 (2) 14,426 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 4. 5. 6. Date Exercisable and 7. Title and 8. Price of 9. Nu Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date Amount of Derivative Derivative

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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code of (Month/Day/Year) (Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	r/Year)	Secur	derlying urities tr. 3 and 4)	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr		
			Code	V (A) (I	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Morparia Kalpana 120 PARK AVENUE NEW YORK, NY 10017	X							

Signatures

Jerry Whitson for Kalpana 05/11/2018 Morparia

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded under the Philip Morris International Inc. 2017 Stock Compensation Plan for Non-Employee Directors.
- (2) The average of the high and low price of Philip Morris International Inc. common stock on May 9, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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