

Scannell John
Form 4
November 15, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Scannell John

(Last) (First) (Middle)

SENECA ST & JAMISON RD

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MOOG INC. [MOGA/MOGB]

3. Date of Earliest Transaction
(Month/Day/Year)
11/13/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common	10/12/2018		G	V 3,982 D \$ 0	26,056	D	
Class A Common	10/12/2018		G	V 3,982 A \$ 0	26,057	I	Spouse
Class A Common	11/13/2018		A ⁽¹⁾	526 A ₍₁₎ \$ 0 ⁽²⁾	26,582	D	
Class A Common	11/13/2018		F ⁽³⁾	258 D \$ 79.15	26,324	D	
Class B Common	11/13/2018		A ⁽¹⁾	1,050 A ₍₁₎ \$ 0 ⁽²⁾	1,375	D	

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Class B Common	11/13/2018	F ⁽³⁾	515	D	\$ 80.19	860	D	
Class B Common (4)						1,888	I	401 (k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V	(A)	(D)	
SAR ⁽⁵⁾	\$ 26.66					⁽⁶⁾	12/01/2019	Class A Common 15,375
SAR ⁽⁵⁾	\$ 36.86					⁽⁶⁾	11/30/2020	Class A Common 20,500
SAR ⁽⁵⁾	\$ 41.82					⁽⁶⁾	11/30/2021	Class A Common 27,000
SAR ⁽⁵⁾	\$ 36.41					⁽⁶⁾	11/27/2022	Class A Common 31,791
SAR ⁽⁵⁾	\$ 61.69					⁽⁶⁾	11/11/2023	Class A Common 15,000
SAR ⁽⁵⁾	\$ 74.38					⁽⁶⁾	11/11/2024	Class A Common 15,000
SAR ⁽⁷⁾	\$ 63.04					⁽⁶⁾	11/17/2025	Class A Common 5,000
SAR ⁽⁷⁾	\$ 65.9					⁽⁶⁾	11/17/2025	Class B Common 10,000
SAR ⁽⁷⁾	\$ 71.648					⁽⁶⁾	11/15/2026	Class B Common 20,000
SAR ⁽⁷⁾	\$ 82.31					⁽⁶⁾	11/14/2027	Class B 18,543

								Common	
SAR ⁽⁷⁾	\$ 80.19	11/13/2018	A	27,949	⁽⁶⁾	11/13/2028	Class B Common	27,949	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Scannell John SENECA ST & JAMISON RD EAST AURORA, NY 14052	X		CEO	

Signatures

Timothy P. Balkin, as Power of Attorney for John R.
Scannell

11/15/2018

**Signature of Reporting Person

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 17, 2015, upon achievement of performance goals under the 2014 Moog Inc. Long Term Incentive Plan.
- (2) The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and therefore the reporting person paid no price for the performance-based restricted stock units.
- (3) Shares withheld for taxes upon the settlement in shares of performance-based restricted stock units previously granted to the reporting person.
- (4) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- (5) Stock Appreciation Rights (SAR) granted under the 2008 Stock Appreciation Rights Plan.
- (6) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (7) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.