

ROCHE PATRICK J  
Form 4  
August 01, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROCHE PATRICK J

(Last) (First) (Middle)

SENECA ST & JAMISON RD

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
MOOG INC. [MOGA/MOGB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Class A Common	07/31/2018		M		4,000 A \$ 35.12	5,390	D
Class A Common	07/31/2018		F		1,957 (1) D \$ 71.82	3,433	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

Edgar Filing: ROCHE PATRICK J - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
SAR <u>(2)</u>	\$ 35.12	07/31/2018		M	4,000	<u>(3)</u> 10/31/2018	Class A Common	4,000
SAR <u>(2)</u>	\$ 26.66					<u>(3)</u> 12/01/2019	Class A Common	3,000
SAR <u>(2)</u>	\$ 36.86					<u>(3)</u> 11/30/2020	Class A Common	4,000
SAR <u>(2)</u>	\$ 41.82					<u>(3)</u> 11/30/2021	Class A Common	4,000
SAR <u>(2)</u>	\$ 36.41					<u>(3)</u> 11/27/2022	Class A Common	20,500
SAR <u>(2)</u>	\$ 61.69					<u>(3)</u> 11/11/2023	Class A Common	10,000
SAR <u>(2)</u>	\$ 74.38					<u>(3)</u> 11/11/2024	Class A Common	10,000
SAR <u>(4)</u>	\$ 63.04					<u>(3)</u> 11/17/2025	Class A Common	3,333
SAR <u>(4)</u>	\$ 65.9					<u>(3)</u> 11/17/2025	Class B Common	6,667
SAR <u>(4)</u>	\$ 71.648					<u>(3)</u> 11/15/2026	Class B Common	10,000
SAR <u>(4)</u>	\$ 82.31					<u>(3)</u> 11/14/2027	Class B Common	6,181

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROCHE PATRICK J SENECA ST & JAMISON RD EAST AURORA, NY 14052			Vice President	

## Signatures

Timothy P. Balkin, as Power of Attorney for Patrick J.  
Roche

08/01/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This represents the difference between the number of SARs exercised (4,000) and the number of shares issued as a result of the exercise

- (1) (2,043). The number of shares to be issued under a SAR exercise is determined by multiplying the number of SARs being exercised by the difference between the FMV on the date of exercise (\$71.82) and the exercise price (\$35.12).
- (2) Stock Appreciation Rights (SAR) granted under the 2008 Stock Option Plan.
- (3) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (4) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.