AMERICAN EXPRESS CO Form 8-K April 18, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT** 

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 18, 2018

### AMERICAN EXPRESS COMPANY

(Exact name of registrant as specified in its charter)

New York 1-7657 13-4922250

(State or other jurisdiction fine arm and in the commission File Number) (IRS Employer Identification No.)

of incorporation or organization)

200 Vesey Street
New York, New York
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (212) 640-2000

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 2.02 Results of Operations and Financial Condition and Item 7.01 Regulation FD Disclosure

The following information is furnished under Item 2.02 – Results of Operations and Financial Condition and Item 7.01 – Regulation FD Disclosure:

On April 18, 2018, American Express Company (the "Company") issued a press release regarding its financial results for the first quarter of 2018. A copy of such press release is attached to this report as Exhibit 99.1. The Company also distributed additional financial information relating to the financial results for the first quarter of 2018. Such additional financial information is attached to this report as Exhibit 99.2.

# **Exhibit Description**

- 99.1 Press Release, dated April 18, 2018, of American Express Company regarding its financial results for the first quarter of 2018.
- 99.2 <u>Additional financial information relating to the financial results of American Express Company for the first quarter of 2018.</u>

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### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

AMERICAN EXPRESS COMPANY (REGISTRANT)

By:/s/ Tangela Richter
Name: Tangela Richter
Title: Corporate Secretary

Date: April 18, 2018

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