

Tjaden Kurt A
Form 3
November 08, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Tjaden Kurt A
(Last) (First) (Middle)

600 EAST SECOND STREET
(Street)

MUSCATINE,Â IAÂ 52761

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
11/07/2018

3. Issuer Name and Ticker or Trading Symbol
HNI CORP [HNI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
SVP HNI; President HNI Intl

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

40,224.71

D

Â

Common Stock

1,170.53

I

Profit-Sharing Retirement Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualifying employee stock option (right to buy)	02/13/2017 ⁽¹⁾	02/13/2023	Common Stock	35,709	\$ 31.79	D	Â
Non-qualifying employee stock options (right to buy)	02/12/2018 ⁽¹⁾	02/12/2024	Common Stock	31,289	\$ 34.78	D	Â
Non-qualifying employee stock options (right to buy)	02/18/2019 ⁽²⁾	02/18/2025	Common Stock	20,219	\$ 51.54	D	Â
Non-qualifying employee stock options (right to buy)	02/17/2020 ⁽²⁾	02/17/2026	Common Stock	49,022	\$ 32.03	D	Â
Non-qualifying employee stock option (right to buy)	02/15/2021 ⁽²⁾	02/15/2027	Common Stock	31,011	\$ 46.62	D	Â
Non-qualifying employee stock option (right to buy)	02/14/2022 ⁽²⁾	02/14/2028	Common Stock	43,511	\$ 38.68	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Tjaden Kurt A 600 EAST SECOND STREET MUSCATINE, IA 52761	Â	Â	Â SVP HNI; President HNI Intl		Â

Signatures

/s/ Kurt A. Tjaden
11/08/2018

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became fully vested and exercisable in one installment on the fourth anniversary of the grant date.
- (2) The option will become fully vested and exercisable in one installment on the fourth anniversary of the grant date.

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Remarks:

ExhibitÂ List:Â ExhibitÂ 24-1Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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