

SunOpta Inc.  
Form 3  
April 08, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |  |  |  |
|---|---------|--|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement       | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Versteegh Geradus Joseph Maria            |         | (Month/Day/Year)                           | SunOpta Inc. [STKL]                                |  |
| (Last)                                    | (First) | (Middle)                                   |  |  |
| STATIONSPLEIN 61-65                       |         |  |  |  |
| (Street)                                  |         |  |  |  |
| AB AMSTERDAM, P7 1012                     |         |  |  |  |
| (City)                                    | (State) | (Zip)                                      |  |  |
| 1. Title of Security                      |         | 2. Amount of Securities Beneficially Owned | 3. Ownership Form:                                 | 4. Nature of Indirect Beneficial Ownership |
| (Instr. 4)                                |         | (Instr. 4)                                 | Direct (D) or Indirect (I)                         | (Instr. 5)                                 |
| Common shares                             |         | 76,680                                     | D  | ^  |

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
President, ISS

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common shares                   | 76,680  | D  | ^   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|--|--|---|--|--|---|

Edgar Filing: SunOpta Inc. - Form 3

|               | Date Exercisable | Expiration Date |               | Amount or Number of Shares |         | or Indirect (I) (Instr. 5) |   |
|---------------|------------------|-----------------|---------------|----------------------------|---------|----------------------------|---|
| Stock Options | Â (1)            | 05/11/2020      | Common shares | 23,500                     | \$ 4.45 | D                          | Â |
| Stock Options | Â (2)            | 05/10/2021      | Common shares | 22,500                     | \$ 7.35 | D                          | Â |
| Stock Options | Â (3)            | 03/04/2022      | Common shares | 35,000                     | \$ 5.15 | D                          | Â |
| Stock Options | Â (4)            | 05/07/2022      | Common shares | 35,000                     | \$ 5.73 | D                          | Â |
| Stock Options | Â (6)            | 05/06/2023      | Common shares | 24,761                     | \$ 7.36 | D                          | Â |
| Stock options | Â (7)            | 05/12/2024      | Common shares | 4,261                      | \$ 11.3 | D                          | Â |
| Stock options | Â (8)            | 05/12/2024      | Common shares | 6,392                      | \$ 11.3 | D                          | Â |
| Stock Options | Â (5)            | 05/06/2023      | Common shares | 10,239                     | \$ 7.36 | D                          | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |                |
|--|---------------|-----------|---------|----------------|
|  | Director      | 10% Owner | Officer | Other          |
| Versteegh Geradus Joseph Maria<br>STATIONSPLEIN 61-65<br>AB AMSTERDAM,Â P7Â 1012 | Â             | Â         | Â       | President, ISS |

## Signatures

/s/ Geradus Versteegh 04/08/2015

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options became or will become exercisable in five equal annual installments on each of May 12, 2011, 2012, 2013, 2014 and 2015. These options qualify as incentive stock options.
- (2) These options became or will become exercisable in five equal annual installments on each of May 11, 2012, 2013, 2014, 2015 and 2016. These options qualify as incentive stock options.
- (3) These options became or will become exercisable in five equal annual installments on each of March 5, 2013, 2014, 2015, 2016 and 2017. These options qualify as incentive stock options.
- (4) These options became or will become exercisable in five equal annual installments on each of May 8, 2013, 2014, 2015, 2016 and 2017. These options qualify as incentive stock options.

## Edgar Filing: SunOpta Inc. - Form 3

(5) These options will become exercisable in two annual installments. The first installment of 3,239 options will become exercisable on May 7, 2017. The second installment of 7,000 options will become exercisable on May 7, 2018. These options qualify as incentive stock options.

(6) These options became or will become exercisable in four annual installments. The first three installments of 7,000 options became or will become exercisable on each of May 7, 2014, 2015 and 2016. The fourth installment of 3,761 options will become exercisable on May 7, 2017. These options are considered non-statutory stock options.

(7) These options will become exercisable in two annual installments. The first installment of 2,130 options will become exercisable on May 13, 2018. The second installment of 2,131 options will become exercisable on May 13, 2019. These options qualify as incentive stock options.

(8) These options will become exercisable in three annual installments. The first installment of 2,131 options will become exercisable on May 13, 2015. The second installment of 2,130 options will become exercisable on May 13, 2016. The third installment of 2,131 options will become exercisable on May 13, 2017. These options are considered non-statutory stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.