

von Pentz Markwart  
Form 4  
November 06, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
von Pentz Markwart

2. Issuer Name and Ticker or Trading Symbol  
DEERE & CO [DE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

DEERE & COMPANY, ONE JOHN DEERE PLACE

3. Date of Earliest Transaction (Month/Day/Year)  
11/02/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Pres.Ag&TurfDiv.Eur.Asia,Afr.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

MOLINE, IL 61265

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)			
			Code	V	Amount (D) or Price (A)					
\$1 Par Common Stock <sup>(1)</sup>	11/02/2017		M		624	A	\$ 88.185	42,650	D	
\$1 Par Common Stock <sup>(1)</sup>	11/02/2017		S		624	D	\$ 135.01 <sup>(2)</sup>	42,026	D	
\$1 Par Common Stock <sup>(1)</sup>	11/02/2017		M		376	A	\$ 79.24	42,402	D	
\$1 Par Common	11/02/2017		S		376	D	\$ 135.01 <sup>(2)</sup>	42,026	D	

Edgar Filing: von Pentz Markwart - Form 4

Stock <sup>(1)</sup>								
\$1 Par Common Stock <sup>(1)</sup>	11/03/2017		M	1,248	A	\$ 88.185	43,274	D
\$1 Par Common Stock <sup>(1)</sup>	11/03/2017		S	1,248	D	\$ 135.0175 <sup>(3)</sup>	42,026	D
\$1 Par Common Stock <sup>(1)</sup>	11/03/2017		M	752	A	\$ 79.24	42,778	D
\$1 Par Common Stock <sup>(1)</sup>	11/03/2017		S	752	D	\$ 135.0175 <sup>(3)</sup>	42,026 <sup>(4)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Market Priced Options <sup>(1)</sup> <sup>(5)</sup>	\$ 88.185	11/02/2017		M	624	<sup>(6)</sup> 12/10/2024	Common Stock	624
Market Priced Options <sup>(1)</sup> <sup>(5)</sup>	\$ 79.24	11/02/2017		M	376	<sup>(7)</sup> 12/09/2025	Common Stock	376
Market Priced Options <sup>(1)</sup> <sup>(5)</sup>	\$ 88.185	11/03/2017		M	1,248	<sup>(6)</sup> 12/10/2024	Common Stock	1,248

Market Priced Options (1) (5)	\$ 79.24	11/03/2017	M	752	(7)	12/09/2025	Common Stock	752
--	----------	------------	---	-----	-----	------------	-----------------	-----

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
von Pentz Markwart DEERE & COMPANY ONE JOHN DEERE PLACE MOLINE, IL 61265			Pres.Ag&TurfDiv.Eur.Asia,Afr.	

## Signatures

/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney	11/06/2017
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Rule 16b-3 options and related sale of shares pursuant to a Rule 10(b)5-1 stock option exercise plan adopted on June 7, 2017.  
The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.00 to \$135.03, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (2) The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.00 to \$135.03, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) Includes 12,666 restricted stock units subject to restrictions or limitations under the John Deere Omnibus Equity and Incentive Plan.
- (4) Shares are not held by reporting person during the period of limitation or restriction. Units are settled solely in shares and include the ability to have shares withheld to satisfy income tax obligations.
- (5) All options include the ability to withhold shares upon the exercise of the option to satisfy income tax obligations.
- (6) The options become exercisable in three approximately equal installments on December 10, 2015, 2016, and 2017.
- (7) The options become exercisable in three approximately equal installments on December 9, 2016, 2017, and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.