STRYKER CORP

Form 3 April 07, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STRYKER CORP [SYK] À Boehnlein Glenn S (Month/Day/Year) 04/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2825 AIRVIEW BLVD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person KALAMAZOO, MIÂ 49002 (give title below) (specify below) Form filed by More than One Vice President, CFO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 4,509 Common Stock 1,173 I By 401K Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option granted 2/10/2009 (right to buy)	(1)	02/09/2019	Common Stock	12,500	\$ 42	D	Â
Employee Stock Option granted 2/23/2010 (right to buy)	(1)	02/22/2020	Common Stock	4,154	\$ 53.09	D	Â
Employee Stock Option granted 2/9/2011 (right to buy)	(1)	02/08/2021	Common Stock	6,750	\$ 59.7	D	Â
Employee Stock Option granted 2/21/2012 (right to buy)	(1)	02/20/2022	Common Stock	12,125	\$ 53.6	D	Â
Employee Stock Option granted 2/13/2013 (right to buy)	(1)	02/12/2023	Common Stock	14,515	\$ 64.01	D	Â
Employee Stock Option granted 2/12/2014 (right to buy)	(2)	02/11/2024	Common Stock	11,955	\$ 81.14	D	Â
Employee Stock Option granted 2/11/2015 (right to buy)	(2)	02/10/2025	Common Stock	10,855	\$ 93.06	D	Â
Employee Stock Option granted 2/10/2016 (right to buy)	(2)	02/09/2026	Common Stock	49,150	\$ 96.64	D	Â
Restricted Stock Units granted 2/12/2014	(3)	(3)	Common Stock	996	\$ (4)	D	Â
Restricted Stock Units granted 2/11/2015	(5)	(5)	Common Stock	1,808	\$ (4)	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Boehnlein Glenn S 2825 AIRVIEW BLVD KALAMAZOO, MI 49002	Â	Â	Vice President, CFO	Â		

## **Signatures**

Lauren E. Keller, attorney-in-fact for Glenn S. Boehnlein 04/07/2016

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\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock option granted purusant to the Stryker Corporation 2006 Long-Term Incentive Plan, exercisable as to 20% on each of the first five anniversaries of the date of grant.
- (2) Employee stock option granted purusant to the Stryker Corporation 2011 Long-Term Incentive Plan, exercisable as to 20% on each of the first five anniversaries of the date of grant.
- (3) The Restricted Stock Units vest as to 996 shares on March 21, 2017.
- (4) Each Restricted Stock Unit represents a contingent right to receive one share of Stryker Common Stock.
- (5) The Restricted Stock Units vest as to 904 shares on March 21, 2017 and 904 shares on March 21, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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